

39. (ALL) Please provide a copy and explanation of DoD and the Service’s “waterfall slides,” if your organization uses that illustrative tool which displays the number of reports and disposition through civilian or military channels and final prosecution and conviction rates.

DoD	<p>In the FY2012 Annual Report, DoD provides a graphic representation through flow charts, to break down the information and discuss separate sections as follows:</p> <ul style="list-style-type: none"> • Exhibit 1: shows total reports of sexual assault and investigations completed (p57) • Exhibit 9: shows subjects of investigations that where outside DoD legal authority (p66) • Exhibit 10: shows the dispositions of subjects under DoD legal authority (p68) • Exhibit 12: shows the dispositions of subjects against whom sexual assault courts-martial charges that were preferred (p73) • Exhibit 13: shows the dispositions of subjects receiving non-judicial punishment (p75) • Exhibit 14: shows the dispositions of subjects for whom there was only probable cause for nonsexual assault offenses (p74) <p>A copy of each exhibit (flow chart) in the FY12 report can be found at http://sapr.mil/public/docs/reports/FY12_DoD_SAPRO_Annual_Report_on_Sexual_Assault-VOLUME_ONE.pdf.</p> <p>Additionally, Each Exhibit above is provided in an easy to use PowerPoint slide deck provided at Q#41 slide deck using the following link: https://pmev2.bah.com/sites/DSAID/Document_Transfer/Forms/AllItems.aspx</p>
USA	<p>The U.S. Army believes the data presented in the Annual Report to Congress needs to be broken down in order to properly examine the disposition decisions made by Army commanders. Some of the critics of our system take the number of completed convictions at court-martial and compare that figure to the total number of reports in a fiscal year to criticize as a very low "prosecution" or "conviction" rate. This method is flawed for four primary reasons:</p> <ol style="list-style-type: none"> a. The Annual Report is a snapshot in time. The total reports figures include reports made throughout the fiscal year so will necessarily include reports that have not yet been investigated or disposed of by a commander. In fact, at any given time, approximately half of current reports are still pending investigation and disposition. b. The total reports figure includes reports in which a Soldier is a victim but the offender is a civilian and does not fall under the jurisdiction of the Army. c. The total reports figure includes restricted reports, in which a victim has elected not to have the allegation reported to law enforcement for investigation or to the command for disposition.

Narrative responses have been consolidated by the Response Systems Panel (RSP). Please forgive formatting errors in text and data. Source documents for narrative responses can be obtained by contacting the RSP.

d. The total reports figure covers a wide spectrum of offenses from rape to an unwanted touch over the clothing. The grouping together of disposition data collectively across that spectrum of offenses does not accurately measure the disposition decisions because at one end of the spectrum, rape, commanders should be considering General Courts-Martial while at the other end of the spectrum, unwanted touch, a non-judicial or administrative punishment is likely more appropriate.

Therefore, in order to accurately study the disposition decisions of Army commanders, the Army has broken down the data to examine offenses separately in which there was jurisdiction over the offender, a completed investigation and a disposition decision made by a commander. The slides illustrating this "waterfall" approach are provided separately.

For the offense of rape, in the Fiscal Year 2012 Annual Report to Congress on Sexual Assault in the Army, there were 358 subjects in founded allegations of rape after an investigation by CID. Of those 358 subjects, 66 subjects were a civilian or unknown offender, 68 subjects were a Soldier offender over which there was concurrent jurisdiction but the Soldier was subject to a prosecution by civilian authorities, and 38 subjects were still pending a disposition by an Army commander. For the remaining 186 subjects, Army commanders preferred court-martial charges against 104 subjects. This would result in a 56% prosecution rate.

The Army also tracked the results of the 68 Soldier offenders subject to prosecution by a civilian jurisdiction. Of the 68 subjects, 7 were prosecuted for the sexual assault offense alleged, 11 subjects were prosecuted for a lesser, non-sexual assault offense and 22 subjects had all charges dismissed. This would result in a 17% prosecution rate by civilian authorities. An identical analysis of the offense of sexual assault/aggravated sexual assault involving a sleeping or intoxicated victim results in a prosecution rate of 59% by Army commanders and 14% by civilian authorities. An analysis of the Fiscal Year 2011 data yielded very similar results and slides illustrating that data are provided separately.

On the other end of the spectrum of crime (unwanted touches or contact), the Annual Report indicates that in 88% of the founded allegations of wrongful sexual contact (238/272), Army commanders took action against the offender that ranged from court-martial (25% or 68/272), administrative separation (12% or 33/272), Article 15 non-judicial punishment (33% or 91/272) or adverse administrative action (17% or 46/272). In only 12% of the cases (34/272) Army commanders did not have sufficient admissible evidence to take action or the victim declined to cooperate with the investigation. These offenses are often not criminalized in civilian jurisdictions and rarely investigated or prosecuted. The range of tools available in the military justice system allow Commanders to address the entire spectrum of crime. The Annual Report data indicates that Army Commanders are effectively addressing the more minor behaviors that could be precursors to more serious offenses. The message to the unit that this type of conduct will not be tolerated is clearly strong.

Narrative responses have been consolidated by the Response Systems Panel (RSP). Please forgive formatting errors in text and data. Source documents for narrative responses can be obtained by contacting the RSP.

USAF	<p>The Air Force waterfall slides for disposition of subjects in FY11 and FY12 are attached (Tab 26 and 27). An explanation of the chart is provided in the notes section of the FY12 slide, with the same explanation applicable to the FY11 slide. This data includes SAPR sexual assault cases only. Not included are child sexual assault offenses and sexual assault offenses covered by the Family Advocacy Program (i.e. adult military dependent sexual assault victims who are assaulted by a spouse or intimate partner and military dependent sexual assault victims who are 17 years of age and younger).</p>
USN	<p>FY11 and FY12 waterfall chart enclosed and titled “USN Annual Report Data FY12/FY11 Results.” A brief explanation of the graphic follows.</p> <p>In FY12, the Navy preferred charges in 99 sexual assault cases. Compared to FY11 where charges were preferred in 67 cases, this represents a 48% increase in cases being taken forward to Article 32 pretrial investigations and/or courts-martial. The 99 cases in which charges were preferred in FY12 were out of 137 sexual assault cases where commander's action could be taken on sexual assault charges. This is also an increase over FY11 where 121 cases permitted commander's action on sexual assault charges and 67 resulted in preferral of charges.</p> <p>The Navy's overall prosecution rate for FY12 was 72%, as calculated by preferral of charges in 99 of the 137 cases. That was a 17% increase over FY11's prosecution rate of 55% (67 of 121 cases). The remainder of the 137 cases in FY12 where sexual assault charges were not preferred resulted in 27 nonjudicial punishments, 3 administrative discharges, and 8 administrative actions.</p> <p>In FY12, 66 cases went to trial and 49 cases resulted in conviction of some offense, resulting in a 74% conviction rate. Of those convicted, 73% received confinement and 55% received a punitive discharge or dismissal. FY12's 74% conviction rate was a 6% increase over FY11's 68% rate where 38 cases went to trial resulting in 26 convictions of which 69% received confinement and 54% received a punitive discharge or dismissal.</p> <p>The reasons unrestricted reports do not result in a commander's ability to take action include the offender is unknown, offender is a civilian not subject to military jurisdiction, civilian authorities prosecute the military offender, the victim declines to participate, the evidence is insufficient or the allegation is unfounded. FY13 data still being compiled.</p>
USMC	<p>The USMC “waterfall” slides for FY2012 are appended to this response. The “waterfall” depicts disposition data for every report of adult sexual assault that occurs with a fiscal year (FY); it starts with the number of such reports and ends with the disposition type for each offense.</p> <p>The first page includes data points A through J. A is the total number of reports in that FY, B is the total number of reports that were unrestricted in that FY, and C is the total number of reports that remain restricted in that FY (does not include those reports that converted from restricted to unrestricted). D flows from an</p>

Narrative responses have been consolidated by the Response Systems Panel (RSP). Please forgive formatting errors in text and data. Source documents for narrative responses can be obtained by contacting the RSP.

unrestricted report because the MCIO (NCIS in the case of the Marine Corps) is required to criminally investigate every allegation of adult sexual assault. E is the number of cases that are still pending investigation or that have an ongoing investigation (broken down by FY cases and pre-FY cases). F is the total number of investigations that were completed in that FY (broken down by how many of those cases were from that FY and how many were from the previous FY). Because sexual assault investigations are complex and often require testing of evidence (such as DNA), multiple interviews, etc., it is not uncommon for an investigation to span two FYs. G shifts the focus from the number of cases investigated to the number of subjects involved in those cases. The numbers are different because one investigation may include allegations against multiple subjects. H breaks down the number of subjects that have a disposition for reporting or are pending disposition by FY subjects and pre-FY subjects. I is the total number of subjects who are still pending disposition at the end of the FY (broken down by FY subjects and pre-FY subjects). Finally, J is the total number of subjects that had a disposition for reporting at the end of the FY. It is important to note at this point that the number of subjects with a completed investigation (“disposition”) at the end of the FY (288) is less than the number of “reports” of sexual assault for that FY (435 total reports; 333 unrestricted and 102 restricted). This gap is because the “waterfall” is simply a snapshot in time of a very fluid process; not every report from that year will have a completed investigation when the snapshot of data is captured

The second page of the waterfall includes specific disposition data for each of the subjects identified in J. The entire second page includes data on subjects, not cases. K includes the subjects whose cases were unfounded by the MCIO. NCIS does not unfound cases, so that number is always 0 for the Marine Corps. L through O (the purple blocks) include subjects who were outside the authority of the DoD to discipline: L because the subject is an unknown offender, M because the subject is a civilian or foreign national not subject to the UCMJ, N because a civilian or foreign authority has taken jurisdiction over the prosecution, and O because the subject died or deserted (otherwise the subject would be subject to the UCMJ). After removing the subjects that are impossible for a commander to take action on, you arrive at P. This is the number of subjects that the MCIO forwarded to a commander for a disposition decision. Up until P, there has been no “subjective” discretion applied by an MCIO or commander on the value or prosecutorial merit of case. It is important to note that of the 435 total reports in the FY, and the subset of 288 subjects with a completed investigation in the FY, only 195 subjects resulted in a situation where a commander was presented with a disposition decision.

The total number of subjects in P where a commander could possibly take action is subdivided into two broad categories. The first category is subjects where the evidence supported some action by the commander and is reflected in blocks Q through T. The second category is subjects where a commander determined the evidence did not support command action (either criminal or administrative) and

Narrative responses have been consolidated by the Response Systems Panel (RSP). Please forgive formatting errors in text and data. Source documents for narrative responses can be obtained by contacting the RSP.

	<p>is reflected by blocks U and V. U (the light blue blocks) includes subjects in cases where the victim declined to participate in the military justice action (making successful prosecution nearly impossible); where there was insufficient evidence of an offense to prosecute (usually because the evidence was poor and NCIS was not able to build a case that met the burden to go forward); where the statute of limitations expired; and where the victim died before completion of the military justice action. V (the dark blue blocks) includes cases that were unfounded by the commander (the vast majority are victim recantations). Q through T (the green blocks) include cases where the evidence supports probable cause to go forward with some sort of action, whether for the sexual assault or for collateral misconduct. Q and R (the light green blocks) contain disposition data on subjects who were disciplined for a sexual assault offense. Q is the number of subjects who had cases where the evidence supported discipline by the commander and is the sum of the R blocks. R is a breakdown of the level of discipline for each subject. S and T (the dark green blocks) contains the same disposition data, only for collateral misconduct.</p> <p>The third page contains specific disposition data for each of the sexual assault offenses that went to court-martial. The fourth page contains specific disposition data for each of the sexual assault offenses that went to NJP (this number is normally zero or very low and is almost always a less egregious contact offense). The fifth page contains disposition data for the collateral misconduct subjects. Finally, the sixth page is a summary of all command disposition actions for the R and T blocks from page two.</p>
USCG	Answer: Attached please find our U.S. Coast Guard Sexual Assault “Waterfall” Report listed as Enclosure 6.

Narrative responses have been consolidated by the Response Systems Panel (RSP). Please forgive formatting errors in text and data. Source documents for narrative responses can be obtained by contacting the RSP.



U.S. AIR FORCE

Disposition of 522 Sexual Assault Subjects (FY11)

AF. 0.39
0.41

Number of subjects	522
Subjects awaiting command action	-68
Any command action precluded	-92
SUBTOTAL	362

Civ/Foreign Prosecution	20
Subj is Civ/Foreign Nat'l	21
Offender Unknown	50
Subj Died/Deserted	1

Cases presented to Commanders for Action 362 (69%)

Cmd Action Precluded/Declined for SA – 249	
Prob cause only for non-SA offense	98
Insufficient evidence of any offense	81
Victim declined to participate	65
Unfounded by command	5
Commander declined action	0
Unfounded by invest agency	0

Commander took Action for SA – 113	
CM Preferred (Initiated)	79
Nonjudicial Punishment	33
Admin Discharge	0
Other Admin Action	1

Prosecution Rate: AF: 22% (79/362)
Conviction Rate (for sexual assault offense): AF: 48% (21/44)



Disposition of 399 Sexual Assault Subjects (FY12)

U.S. AIR FORCE

Number of subjects	399									
Subjects awaiting command action	-179	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">Civ/Foreign Prosecution</td> <td style="width: 20%; text-align: right;">12</td> </tr> <tr> <td>Subj is Civ/Foreign Nat'l</td> <td style="text-align: right;">13</td> </tr> <tr> <td>Offender Unknown</td> <td style="text-align: right;">17</td> </tr> <tr> <td>Subj Died/Deserted</td> <td style="text-align: right;">1</td> </tr> </table>	Civ/Foreign Prosecution	12	Subj is Civ/Foreign Nat'l	13	Offender Unknown	17	Subj Died/Deserted	1
Civ/Foreign Prosecution	12									
Subj is Civ/Foreign Nat'l	13									
Offender Unknown	17									
Subj Died/Deserted	1									
Any command action precluded	-43									
SUBTOTAL	177									

Cases presented to Commanders for Action 177 (44%)

Cmd Action Precluded/Declined for SA -- 121	Commander took Action for SA -- 56		
Prob cause only for non-SA offense	54	CM Preferred (Initiated)	42
Insufficient evidence of any offense	32	Nonjudicial Punishment	14
Victim declined to participate	24	Admin Discharge	0
Unfounded by command	11	Other Admin Action	0
Commander declined action	0		
Unfounded by invest agency	0		

Prosecution Rate:	AF: 24% (42/177)
Conviction Rate (for sexual assault offense):	AF: 57% (13/23)

Talking Points

NOTE: This slide is based on data that is currently undergoing an audit and review prior to their inclusion in the DoD Report to Congress due 30 April 2012."

- This slide tracks the 399 Subjects from investigations completed in FY12. While 179 were still pending action at end of the fiscal year, command action was precluded for 43 subjects for the reasons noted in the top right box
- On the left, command action for a SA offense was precluded or declined for the reasons noted
- On the right, commanders took action for SA for 56 subjects, including preferring charges in 42
- No OSD standard on calculating, but current OSD/GC Proposal:
- Prosecution Rate: Not measured against unrestricted reports but against those cases where evidence supported command action for sexual assault
 - In FY12, Air Force had a 75% prosecution rate (FY11-70%)
 - FY12 OSD and other service rates not yet available (FY11 rates: OSD – 62% (489/796); USAF – 70% (79/113); Army—60% (272/457); Navy—55% (67/121); USMC: 71% (71/100))
- Conviction Rate: Not measured against unrestricted reports but against the cases that actually proceeded to trial
 - Even though the Air Force took tough cases to trial, the Air Force had a conviction rate of 87% for any offense, (FY 11 AF rate of 80% same as the OSD rate)
 - An important disclaimer to this conviction rate is that it includes convictions for any offense—a conviction rate for a sexual assault offense in FY12 was 59% (FY11 was 48% (21/44))
 - FY12 OSD and other service rates not yet available (FY11 rates: FY11: OSD – 80% (191/240); USAF – 80% (35/44); Army—82% (79/96); Navy—70% (28/40); USMC: 82% (49/60)
- Alternate means of calculating
 - Prosecution Rate: Measure preferred cases against the cases presented to commanders for action (excluding cases where prosecution not objectively possible)
 - FY 12 rate 24%. FY12 OSD and other service rates not yet available (FY11: OSD:-27%; AF-22% (79/362))
 - Conviction Rate: Use only convictions for sexual assault offenses based on cases referred to trial for sexual assault offenses
 - AF: FY12 57% (13/23)
 - OSD data not available as the convictions tracked for any offense (not just

convictions for sexual assault offenses) in the SAPRO report

Background Notes:

“Unfounded by command “includes cases are determinations made by a commander with supporting legal advice that the cases were:

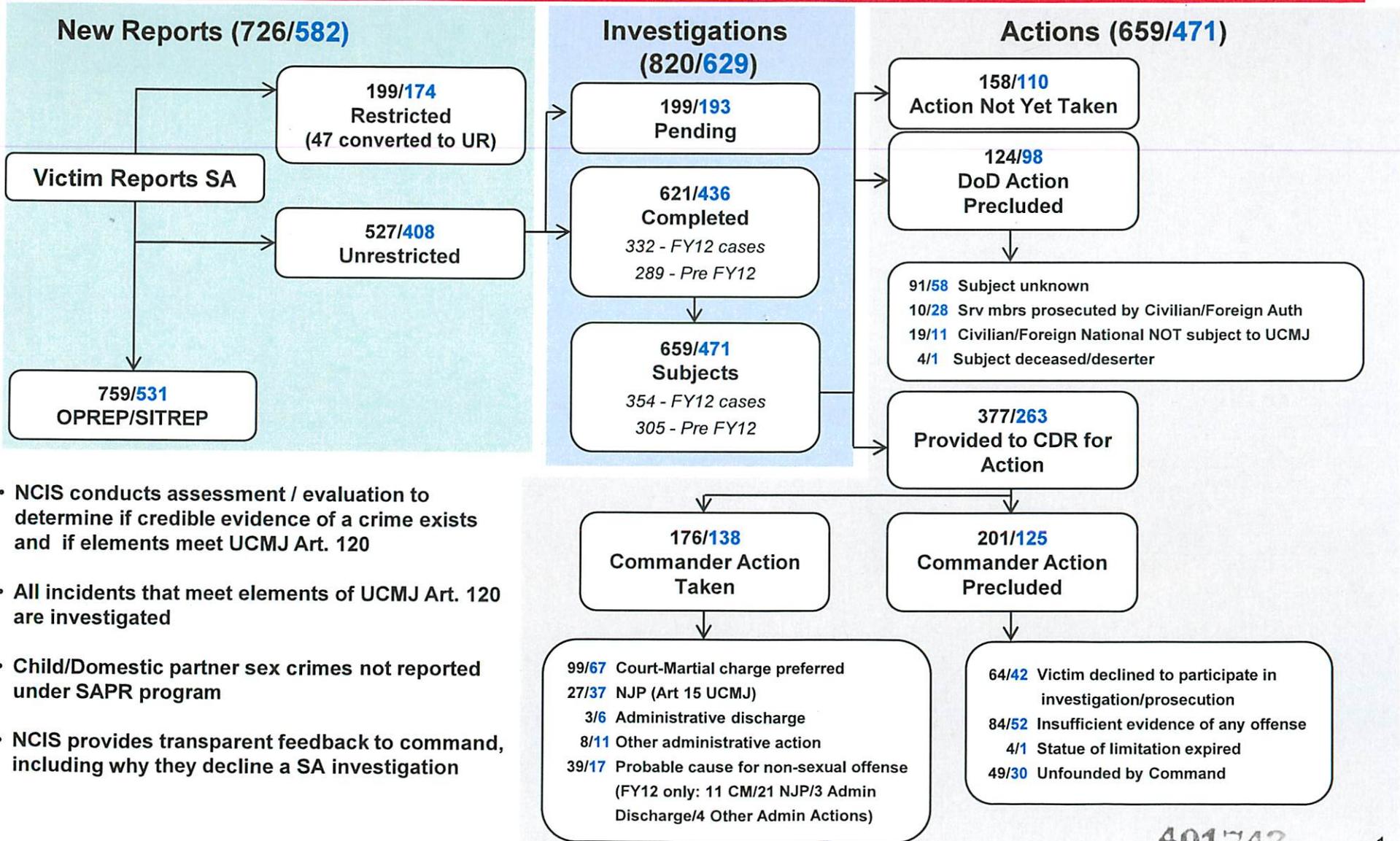
- (1) False cases. Evidence obtained through an investigation shows that an offense was not committed nor attempted by the subject of the investigation.
- (2) Baseless cases. Evidence obtained through an investigation shows that alleged offense did not meet at least one of the required elements of a UCMJ offense constituting the SAPR definition of sexual assault or was improperly reported as a sexual assault.

301220



USN Annual Report Data

FY12/FY11 Results



- NCIS conducts assessment / evaluation to determine if credible evidence of a crime exists and if elements meet UCMJ Art. 120
- All incidents that meet elements of UCMJ Art. 120 are investigated
- Child/Domestic partner sex crimes not reported under SAPR program
- NCIS provides transparent feedback to command, including why they decline a SA investigation

NAVM. 0.39

U.S. Coast Guard Sexual Assault Statistics: FY07-13

Prepared By:
The Coast Guard Office of Military Justice
CG-0946

PAGE LEFT INTENTIONALLY BLANK

COAST GUARD SEXUAL ASSAULT STATISTICS

Overview

Using the Department of Defense's Annual Report on Sexual Assault in the Military as a framework, the Coast Guard Office of Military Justice (CG-0946) collected, organized, and analyzed Sexual Assault allegation data from FY09-FY13. Coast Guard Investigative Service (CGIS) Sexual Assault data was reviewed as a starting point to identify victims and subjects. Case data was then evaluated to determine the ultimate disposition of each victim's allegation and to determine what action (if any) was taken against each subject. Where additional information was required to effectively determine the outcome of a case, CGIS case files, CG-0946 files, Records of Trial, and the Coast Guard's Law Manager Database were reviewed as necessary. Statistics derived from this analysis are included as Enclosures.

Although Sexual Assault statistics were collected in FY07-08, the statistics may not represent a complete compilation of Sexual Assault reports or incidents that occurred during these years. Due to recent initiatives to strengthen and expand the Sexual Assault Response and Prevention (SAPR) Program, the statistics for FY09-13 have become increasingly more comprehensive and complete.

Classification

After each case was reviewed, the victim's claim and corresponding subject were classified into "disposition" categories similar to those included in the DoD Annual Report. (See below for a detailed description of each category). For several cases, multiple victims and/or subjects were identified. Accordingly, some victims were classified under a different category than the alleged subject. Victims were classified based on the result of their individual claim whereas subjects were classified based on the highest forum where *any* allegation was adjudicated (i.e. Court-Martial considered higher than NJP proceeding). Unlike the DoD Report, the outcome of each case was included under the FY the allegation was made even though final disposition may have occurred in a subsequent FY.

Analysis

Once a victim's claim and corresponding subject were classified into a disposition category, the data was analyzed in two ways:

- (1) **Victim and Subject Centric Analysis** – two sets of statistics were compiled based on the outcome of each victim's allegation and the outcome of allegations made against each subject. For the victim centric model, the data focuses on what action

was taken against the subject who was the focus of the victim's claim. Action taken against victims was not analyzed and is therefore not represented by this data.

(2) **Bifurcated Initial Allegation Analysis** – the victim and subject centric models were further classified into two sub-categories based on the most serious *initially* alleged crime. The “Serious Sex Crime” category includes Rape, Forcible Sodomy, Aggravated Sexual Assault, and Aggravated Sexual Contact. The “Wrongful Sexual Contact” category includes Wrongful/Abusive Sexual Contact. Since this classification system only captures the most serious *initial* offense, the subsequent disposition category does not necessarily reflect that the most serious initial offense was substantiated or that action was taken against the subject for that offense.

Important Notes on Data Analysis

- (1) Several cases could have been classified in two or more categories. Data for the subject and victim was captured in the category that *best* fit the details of the case.
- (2) For each fiscal year, the SAPR Program's number of unrestricted reports was consistently less than the number of victims identified in the CGIS data analysis. For FY07-08 Statistics, as documented in the United States Court of Appeals for the Armed Forces (CAAF) Annual Reports for FY07-08, the Coast Guard held a total of 71 Courts-Martial in FY07 and 60 Courts-Martial in FY08. Based on the best data available, there were 73 Unrestricted Sexual Assault reports in 2007 and 78 Unrestricted Sexual Assault reports in 2008.

Fiscal Year	Unrestricted Reports Identified by SAPR	Unrestricted Victims Identified in CGIS data
FY12	141	150
FY11	83	92
FY10	60	76
FY09	60	68
FY08	78	---
FY07	73	---

- (3) The enclosed statistics include both civilian and military victims; the statistics do not differentiate between these two different types of victims.
- (4) The statistics represent if *any* action was taken against a subject identified by a victim. In many instances, the action taken against a subject was based on prejudicial activity revealed by the investigation *rather* than for the victim's Sexual Assault allegation; thus, no action may have been taken against the subject specifically for a victim's Sexual Assault allegation. The Convening Authority may not have taken action for a victim's *Sexual Assault* allegation because there was insufficient evidence to prosecute the subject for the Sexual Assault allegation, the claim was unfounded or fabricated, or a Civilian Authority was prosecuting the Sexual Assault claim. However, detailed data was not available to utilize this type of classification system.

Convening Authority Action on Findings

From FY09-FY12, the Convening Authority took action on Findings and partially dismissed convictions in two cases. In the first case, the partial dismissal involved specifications unrelated to the Article 120 charges – unlawful entry. The second case involved dismissal of a specification under Article 120 (Wrongful Sexual Contact); however, the remaining Article 120 specification (Aggravated Sexual Contact) was approved.

DEFINITIONAL ASPECTS OF COAST GUARD SEXUAL ASSAULT ANALYSIS

Allegations:

All allegations of contact to the buttocks, genitalia, and general contact of a sexual nature including alleged UCMJ Article 120/125 offenses such as Wrongful/Abusive Sexual Contact, Aggravated Sexual Contact, Aggravated Sexual Assault, Rape, and Forcible Sodomy were included. Additionally, hazing cases that involved sexual contact were also included.

Allegations of indecent exposure/acts/language, unauthorized videotaping/photographing, Sexual Assault of a minor, child pornography, and Sexual Harassment were not included.

Classification Categories:

The following provides a detailed description of each disposition category.

OUTSIDE COAST GUARD LEGAL AUTHORITY

Cases include instances where: (1) the Coast Guard did not have jurisdiction over the subject because the subject is a civilian or foreign national, (2) another Military Service, Civilian authority, or Foreign authority assumed primary investigative and/or prosecutorial responsibilities, or (3) the Coast Guard cannot prosecute because the offender is unknown or the Statute of Limitations for the alleged offense expired.

COMMAND ACTION INADVISABLE

This overall category encompasses cases where the Coast Guard had jurisdiction over the subject but the Commander chose not to take action for one of the following reasons:

- (1) **Victim declined to participate** – includes all cases where the victim declined/withdrew allegations *before* charges were preferred.
- (2) **Insufficient evidence** – *only* includes cases where the Report of Adjudication (ROA) or CGIS case file contained a clear indication that the command declined to prosecute or take any action due to a lack of sufficient evidence. This category also includes cases that *may* have been fabricated (due to fraternization, etc.)
- (3) **Investigation revealed allegation was fabricated** – includes only two cases where the CGIS investigation revealed that the accuser fabricated the entire allegation.

NO COMMAND ACTION/REASON NOT IDENTIFIED

This category encompasses cases where the Report of Adjudication (ROA) and CGIS case file did not document a reason why the command declined to prosecute. This category likely includes cases where the command determined the case was unfounded, there was insufficient evidence to prosecute the subject, or the victim declined to participate. However, because the case file did not definitively reveal the reason, a separate category was created.

ADMINISTRATIVE ACTION TAKEN

Cases include instances where the Coast Guard took administrative action against the subject based on findings from the Sexual Assault investigation. Some cases did not specifically indicate that the Commander found a substantiated incident of Sexual Assault or that the action taken against a subject was for a victim's Sexual Assault allegation.

Accordingly, some of the action taken only documented fraternization, alcohol incidents, and other prejudicial activities.

- (1) **Administrative discharge** – *only* includes cases where the CGIS case file revealed that the subject was discharged *specifically* for circumstances surrounding the Sexual Assault allegations in lieu of other disciplinary action; therefore, there are additional subjects that were subsequently administratively discharged but the case fell more appropriately within another category. The statistics in this category are likely lower than the actual *total* number of alleged subjects who were eventually administratively discharged.
- (2) **Other Adverse Administrative Action** – examples of Adverse Action include documented Alcohol Incidents, CG-3307 entries, Negative Officer or Enlisted Evaluations, and Letters of Censure. Verbal counseling was not included.

NON-JUDICIAL PUNISHMENT

This category includes cases where the Commander brought the subject to NJP due to offenses revealed during the Sexual Assault investigation. Similar to the Administrative Action category, several cases did not specifically show that the Commander found a substantiated Sexual Assault incident or that the action taken against the subject was for the initial Sexual Assault allegation. Accordingly, some NJP proceedings disciplined subjects for fraternization, alcohol incidents, and other prejudicial activities.

- (1) **Article 120/125 offense** – subject received NJP for at least one Article 120/125 UCMJ offense that involved contact of a sexual nature (Wrongful/Abusive Sexual Contact and above). Importantly, although the victim's initial allegation may have been classified as a "Serious Sex Crime", the subject may only have been charged and found guilty of a Wrongful Sexual Contact offense. This category does not include Article 120 offenses for non-contact offenses such as indecent act/exposure.
- (2) **Non Article 120/125 offense** – subject received NJP for any offense other than an Article 120/125 sexual contact offense.
- (3) **Charges dismissed** – charges were dismissed at NJP proceeding.
- (4) **Charges unknown** – subject received NJP but the case file did not contain a description of the offenses.

COURT-MARTIAL CHARGE PREFERRED

This overall category only includes cases where Court-Martial charges were preferred against the subject.

- (1) **Victim declined to participate** – includes cases where the victim declined to participate in the prosecution of the subject at some point *after* Court-Martial charges were preferred. All charges against the subject were subsequently dropped.
- (2) **Dismissed** – all charges against the subject were dismissed due to insufficient evidence upon a motion or after the Article 32 investigation was completed.
- (3) **Discharged in lieu of Court-Martial** – charges were preferred against the subject but the Convening Authority accepted the subject's resignation or administratively discharged the subject in lieu of Court-Martial. Two subjects received NJP prior to being administratively discharged.
- (4) **Court-Martial convened** – subject was brought to Court-Martial for offenses arising from the Sexual Assault investigation. This category includes cases where the subject may not have been ultimately charged with a Sex crime.

DISPOSITION AT COURT-MARTIAL

Convened Courts-Martial were first categorized by type (General/Special/Summary) and then further analyzed based on trial results. Subjects may have been convicted of both Sex and Non-Sex crimes but were only included in the highest applicable category (Sex Crime considered the highest).

- (1) **Convicted of Sex Crime** – subject was convicted of at least one Article 120/125 UCMJ offense that involved contact of a sexual nature. This category does not include Article 120 offenses for non-contact offenses such as indecent act/exposure.
- (2) **Convicted of Lesser Included Offense (LIO)** – subject was found not guilty for the alleged Sex Crime but convicted of a LIO. This category only includes cases where the subject was not charged with the LIO under an alternative legal theory.
- (3) **Convicted of Non-Sex Crime** – subject was convicted of any UCMJ offense except an Article 120/125 offense involving contact of a sexual nature.
- (4) **Acquitted** – subject was found not guilty of all charges.

PENDING CASES

This category includes cases where either the investigation is still open, the case is pending disposition, or a Court-Martial proceeding is pending. There are no pending cases for FY09-10.

- (1) **Investigation Open** – CGIS investigation remains open. Also includes cases where the offender is unknown but the case is still under investigation.
- (2) **Pending Disposition** – CGIS investigation is completed but the case is awaiting final disposition. Includes cases where the victim declined to participate but the Commander has not taken final action.
- (3) **Court-Martial Pending** – includes cases where Court-Martial charges have been preferred against the subject but the Court-Martial process has not been completed.

Completed Investigations: 66 Subjects

**Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 28 Subjects**

Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:

- Subject Civilian/Foreign National
- Other Mil. Service Investigating/Prosecuting
- Civilian/Foreign Authority Prosecuting
- Offender Unknown
- Statute of Limitations Expired
- Victim Declined to Participate
- Insufficient Evidence
- No Disciplinary Action Taken (No Reason Identified)
- Investigation Revealed Allegation was Fabricated

**Action Taken:
38 Subjects [47%]**

Administrative Action
9/38 Subjects
[24%]

Admin Discharge ILO Other Action
5 Subjects

Other Adverse Admin Action
4 Subjects

Non-Judicial Punishment
18/38 Subjects
[47%]

Court Martial Charge Preferred
11/38 Subjects
[29%]

Court Martial Convened
8 Subjects

Dismissed (lack of evidence)
2 Subjects

Discharged ILO
0 Subjects

Victim Declined to Participate
1 Subject

Disposition at Court Martial

GCM	SPCM	SCM
3 Subjects	2 Subjects	3 Subjects
Convicted (Sex crime) 2 Subjects	Convicted (Sex crime) 0 Subjects	Convicted (Sex crime) 2 Subjects
Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects
Convicted (Non-Sex crime) 1 Subject	Convicted (Non-Sex crime) 2 Subjects	Convicted (Non-Sex crime) 1 Subject
Acquitted 0 Subjects	Acquitted 0 Subjects	Acquitted 0 Subjects

FY 09 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS
TOTAL SUBJECTS → 66

501201

USCG 639

Completed Investigations: 68 Victims

**Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 26 Victims**

- Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:
- Subject Civilian/Foreign National
 - Other Mil. Service Investigating/Prosecuting
 - Civilian/Foreign Authority Prosecuting
 - Offender Unknown
 - Statute of Limitations Expired
 - Victim Declined to Participate
 - Insufficient Evidence
 - No Disciplinary Action Taken (No Reason Identified)
 - Investigation Revealed Allegation was Fabricated

**Action Taken:
42 Victims [62%]**

**Administrative
Action
8/42 Victims
[19%]**

**Admin
Discharge
ILO Other
Action
4 Victims**

**Other
Adverse
Admin Action
4 Victims**

**Non-Judicial
Punishment
18/42 Victims
[43%]**

**Court Martial
Charge
Preferred
16/42 Victims
[38%]**

**Court Martial
Convened
12 Victims**

**Dismissed (lack of
evidence)
3 Victims**

**Discharged ILO
0 Victims**

**Victim Declined to
Participate
1 Victim**

**Disposition at
Court Martial**

	GCM 3 Victims	SPCM 2 Victims	SCM 7 Victims
Convicted (Sex crime)	2 Victims	0 Victims	6 Victims
Convicted (LIO)	0 Victims	0 Victims	0 Victims
Convicted (Non-Sex crime)	1 Victim	2 Victims	1 Victim
Acquitted	0 Victims	0 Victims	0 Victims

501202

**FY 09 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS
TOTAL VICTIMS → 68**

Completed Investigations: 40 Subjects

Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 21 Subjects

Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:

- Subject Civilian/Foreign National
- Other Mil. Service Investigating/Prosecuting
- Civilian/Foreign Authority Prosecuting
- Offender Unknown
- Statute of Limitations Expired
- Victim Declined to Participate
- Insufficient Evidence
- No Disciplinary Action Taken (No Reason Identified)
- Investigation Revealed Allegation was Fabricated

Action Taken:
19 Subjects [48%]

Administrative Action
2/19 Subjects [11%]

Admin Discharge ILO Other Action
0 Subjects

Other Adverse Admin Action
2 Subjects

Non-Judicial Punishment
12/19 Subjects [63%]

Court Martial Charge Preferred
5/19 Subjects [26%]

Court Martial Convened
3 Subjects

Dismissed (lack of evidence)
2 Subjects

Discharged ILO
0 Subjects

Victim Declined to Participate
0 Subjects

“Serious Sex Crime” Category includes cases where the most serious initial allegation was Rape, Forcible Sodomy, Aggravated Sexual Assault, or Aggravated Sexual Contact.

Disposition at Court Martial

GCM	SPCM	SCM
2 Subjects	0 Subjects	1 Subject
Convicted (Sex crime) 1 Subject	Convicted (Sex crime) 0 Subjects	Convicted (Sex crime) 1 Subject
Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects
Convicted (Non-Sex crime) 1 Subject	Convicted (Non-Sex crime) 0 Subjects	Convicted (Non-Sex crime) 0 Subjects
Acquitted 0 Subjects	Acquitted 0 Subjects	Acquitted 0 Subjects

FY 09 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:

SERIOUS SEX CRIME

TOTAL SUBJECTS → 40

501203

Completed Investigations: 41 Victims

Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 19 Victims

Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:

- Subject Civilian/Foreign National
- Other Mil. Service Investigating/Prosecuting
- Civilian/Foreign Authority Prosecuting
- Offender Unknown
- Statute of Limitations Expired
- Victim Declined to Participate
- Insufficient Evidence
- No Disciplinary Action Taken (No Reason Identified)
- Investigation Revealed Allegation was Fabricated

Action Taken:
22 Victims [54%]

Administrative Action
2/22 Victims
[9%]

Admin Discharge
ILO Other Action
0 Victims

Other Adverse Admin Action
2 Victims

Non-Judicial Punishment
12/22 Victims
[55%]

Court Martial Charge Preferred
8/22 Victims
[36%]

Court Martial Convened
5 Victims

Dismissed (lack of evidence)
3 Victims

Discharged ILO
0 Victims

Victim Declined to Participate
0 Victim

“Serious Sex Crime”
Category includes cases where the most serious initial allegation was Rape, Forcible Sodomy, Aggravated Sexual Assault, or Aggravated Sexual Contact.

Disposition at Court Martial

GCM	SPCM	SCM
2 Victims	0 Victims	3 Victims
Convicted (Sex crime) 1 Victim	Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 3 Victims
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims
Convicted (Non-Sex crime) 1 Victims	Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 0 Victims
Acquitted 0 Victims	Acquitted 0 Victims	Acquitted 0 Victims

501204

FY 09 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS:
SERIOUS SEX CRIME
TOTAL VICTIMS → 41

Completed Investigations: 26 Subjects

“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.

Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 7 Subjects

- Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:
- Subject Civilian/Foreign National
 - Other Mil. Service Investigating/Prosecuting
 - Civilian/Foreign Authority Prosecuting
 - Offender Unknown
 - Statute of Limitations Expired
 - Victim Declined to Participate
 - Insufficient Evidence
 - No Disciplinary Action Taken (No Reason Identified)
 - Investigation Revealed Allegation was Fabricated

Action Taken:
19 Subjects [73%]

Administrative Action
7/19 Subjects [36%]

Admin Discharge ILO Other Action
5 Subjects

Other Adverse Admin Action
2 Subjects

Non-Judicial Punishment
6/19 Subjects [32%]

Court Martial Charge Preferred
6/19 Subjects [32%]

Court Martial Convened
5 Subjects

Dismissed (lack of evidence)
0 Subjects

Discharged ILO
0 Subjects

Victim Declined to Participate
1 Subject

Disposition at Court Martial

	GCM 1 Subject	SPCM 2 Subjects	SCM 2 Subjects
Convicted (Sex crime)	1 Subject	0 Subjects	1 Subject
Convicted (LIO)	0 Subjects	0 Subjects	0 Subjects
Convicted (Non-Sex crime)	0 Subjects	2 Subjects	1 Subject
Acquitted	0 Subjects	0 Subjects	0 Subjects

FY 09 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:
WRONGFUL SEXUAL CONTACT
TOTAL SUBJECTS → 26

501205

Completed Investigations: 27 Victims

**Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 7 Victims**

- Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:
- Subject Civilian/Foreign National
 - Other Mil. Service Investigating/Prosecuting
 - Civilian/Foreign Authority Prosecuting
 - Offender Unknown
 - Statute of Limitations Expired
 - Victim Declined to Participate
 - Insufficient Evidence
 - No Disciplinary Action Taken (No Reason Identified)
 - Investigation Revealed Allegation was Fabricated

**Action Taken:
20 Victims [74%]**

**Administrative Action
6/20 Victims
[30%]**

**Admin Discharge
ILO Other Action
4 Victims**

**Other Adverse Admin Action
2 Victims**

**Non-Judicial Punishment
6/20 Victims
[30%]**

**Court Martial Charge Preferred
8/20 Victims
[40%]**

**Court Martial Convened
7 Victims**

**Dismissed (lack of evidence)
0 Victims**

**Discharged ILO
0 Victims**

**Victim Declined to Participate
1 Victim**

Disposition at Court Martial

GCM 1 Victim	SPCM 2 Victims	SCM 4 Victims
Convicted (Sex crime) 1 Victim	Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 3 Victims
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims
Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 2 Victims	Convicted (Non-Sex crime) 1 Victim
Acquitted 0 Victims	Acquitted 0 Victims	Acquitted 0 Victims

“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.

**FY 09 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS:
WRONGFUL SEXUAL CONTACT
TOTAL VICTIMS → 27**

501206

Completed Investigations: 67 Subjects

**Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 33 Subjects**

Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:

- Subject Civilian/Foreign National
- Other Mil. Service Investigating/Prosecuting
- Civilian/Foreign Authority Prosecuting
- Offender Unknown
- Statute of Limitations Expired
- Victim Declined to Participate
- Insufficient Evidence
- No Disciplinary Action Taken (No Reason Identified)
- Investigation Revealed Allegation was Fabricated

**Action Taken:
34 Subjects [51%]**

**Administrative Action
12/34 Subjects
[35%]**

**Admin Discharge
ILO Other Action
4 Subjects**

**Other Adverse Admin Action
8 Subjects**

**Non-Judicial Punishment
9/34 Subjects
[26%]**

**Court Martial Charge Preferred
13/34 Subjects
[38%]**

**Court Martial Convened
10 Subjects**

**Dismissed (lack of evidence)
0 Subjects**

**Discharged ILO
3 Subjects**

**Victim Declined to Participate
0 Subjects**

Disposition at Court Martial

GCM 3 Subjects	SPCM 3 Subjects	SCM 4 Subjects
Convicted (Sex crime) 3 Subjects	Convicted (Sex crime) 1 Subject	Convicted (Sex crime) 1 Subject
Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects
Convicted (Non-Sex crime) 0 Subjects	Convicted (Non-Sex crime) 2 Subjects	Convicted (Non-Sex crime) 3 Subjects
Acquitted 0 Subjects	Acquitted 0 Subjects	Acquitted 0 Subjects

FY 10 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS

TOTAL SUBJECTS → 67

501207

USCG 0301

Completed Investigations: 76 Victims

**Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 32 Victims**

- Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:
- Subject Civilian/Foreign National
 - Other Mil. Service Investigating/Prosecuting
 - Civilian/Foreign Authority Prosecuting
 - Offender Unknown
 - Statute of Limitations Expired
 - Victim Declined to Participate
 - Insufficient Evidence
 - No Disciplinary Action Taken (No Reason Identified)
 - Investigation Revealed Allegation was Fabricated

**Action Taken:
44 Victims [58%]**

**Administrative Action
12/44 Victims
[27%]**

**Admin Discharge
ILO Other Action
5 Victims**

**Other Adverse Admin Action
7 Victims**

**Non-Judicial Punishment
8/44 Victims
[18%]**

**Court Martial Charge Preferred
24/44 Victims
[55%]**

**Court Martial Convened
18 Victims**

**Dismissed (lack of evidence)
0 Victims**

**Discharged ILO
6 Victims**

**Victim Declined to Participate
0 Victims**

Disposition at Court Martial

GCM 9 Victims	SPCM 5 Victims	SCM 4 Victims
Convicted (Sex crime) 9 Victims	Convicted (Sex crime) 3 Victims	Convicted (Sex crime) 1 Victim
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims
Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 2 Victims	Convicted (Non-Sex crime) 3 Victims
Acquitted 0 Victims	Acquitted 0 Victims	Acquitted 0 Victims

FY 10 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS
TOTAL VICTIMS → 76

501208

Completed Investigations: 37 Subjects

“Serious Sex Crime”
 Category includes cases where the most serious initial allegation was Rape, Forcible Sodomy, Aggravated Sexual Assault, or Aggravated Sexual Contact.

Outside CG Legal Authority, OR
 Command Action Precluded/Inadvisable: 22 Subjects

- Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:
- Subject Civilian/Foreign National
 - Other Mil. Service Investigating/Prosecuting
 - Civilian/Foreign Authority Prosecuting
 - Offender Unknown
 - Statute of Limitations Expired
 - Victim Declined to Participate
 - Insufficient Evidence
 - No Disciplinary Action Taken (No Reason Identified)
 - Investigation Revealed Allegation was Fabricated

Action Taken:
 15 Subjects [41%]

Administrative Action
 5/15 Subjects [33%]

Admin Discharge ILO Other Action
 4 Subjects

Other Adverse Admin Action
 1 Subjects

Non-Judicial Punishment
 5/15 Subjects [33%]

Court Martial Charge Preferred
 5/15 Subjects [33%]

Court Martial Convened
 4 Subjects

Dismissed (lack of evidence)
 0 Subjects

Discharged ILO
 1 Subject

Victim Declined to Participate
 0 Subjects

Disposition at Court Martial

	GCM 1 Subject	SPCM 2 Subjects	SCM 1 Subject
Convicted (Sex crime)	1 Subject	1 Subject	1 Subject
Convicted (LIO)	0 Subjects	0 Subjects	0 Subjects
Convicted (Non-Sex crime)	0 Subjects	1 Subject	0 Subjects
Acquitted	0 Subjects	0 Subjects	0 Subjects

FY 10 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:
SERIOUS SEX CRIME
TOTAL SUBJECTS → 37

501209

Completed Investigations: 37 Victims

**Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 21 Victims**

- Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:
- Subject Civilian/Foreign National
 - Other Mil. Service Investigating/Prosecuting
 - Civilian/Foreign Authority Prosecuting
 - Offender Unknown
 - Statute of Limitations Expired
 - Victim Declined to Participate
 - Insufficient Evidence
 - No Disciplinary Action Taken (No Reason Identified)
 - Investigation Revealed Allegation was Fabricated

**Action Taken:
16 Victims [43%]**

**Administrative Action
5/16 Victims
[31%]**

**Admin Discharge
ILO Other Action
4 Victims**

**Other Adverse Admin Action
1 Victim**

**Non-Judicial Punishment
4/16 Victims
[25%]**

**Court Martial Charge Preferred
7/16 Victims
[44%]**

**Court Martial Convened
6 Victims**

**Dismissed (lack of evidence)
0 Victims**

**Discharged ILO
1 Victims**

**Victim Declined to Participate
0 Victim**

Disposition at Court Martial

GCM 1 Victim	SPCM 4 Victims	SCM 1 Victim
Convicted (Sex crime) 1 Victim	Convicted (Sex crime) 3 Victims	Convicted (Sex crime) 1 Victim
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims
Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 1 Victim	Convicted (Non-Sex crime) 0 Victims
Acquitted 0 Victims	Acquitted 0 Victims	Acquitted 0 Victims

“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.

**FY 10 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS:
SERIOUS SEX CRIME
TOTAL VICTIMS → 37**

501210

Completed Investigations: 30 Subjects

“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.

**Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 11 Subjects**

Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:

- Subject Civilian/Foreign National
- Other Mil. Service Investigating/Prosecuting
- Civilian/Foreign Authority Prosecuting
- Offender Unknown
- Statute of Limitations Expired
- Victim Declined to Participate
- Insufficient Evidence
- No Disciplinary Action Taken (No Reason Identified)
- Investigation Revealed Allegation was Fabricated

**Action Taken:
19 Subjects [63%]**

**Administrative Action
7/19 Subjects
[37%]**

**Admin Discharge ILO Other Action
0 Subjects**

**Other Adverse Admin Action
7 Subjects**

**Non-Judicial Punishment
4/19 Subjects
[21%]**

**Court Martial Charge Preferred
8/19 Subjects
[42%]**

**Court Martial Convened
6 Subjects**

**Dismissed (lack of evidence)
0 Subjects**

**Discharged ILO
2 Subjects**

**Victim Declined to Participate
0 Subject**

Disposition at Court Martial

	GCM	SPCM	SCM
	2 Subject	1 Subjects	3 Subjects
	Convicted (Sex crime) 2 Subjects	Convicted (Sex crime) 0 Subjects	Convicted (Sex crime) 0 Subjects
	Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects
	Convicted (Non-Sex crime) 0 Subjects	Convicted (Non-Sex crime) 1 Subject	Convicted (Non-Sex crime) 3 Subjects
	Acquitted 0 Subjects	Acquitted 0 Subjects	Acquitted 0 Subjects

**FY 10 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:
WRONGFUL SEXUAL CONTACT
TOTAL SUBJECTS → 30**

501211

Completed Investigations: 39 Victims

**Outside CG Legal Authority, OR
Command Action Precluded/Inadvisable: 11 Victims**

- Cases in this category are outside of CG legal authority, or Command action was inadvisable, due to one of the following reasons:
- Subject Civilian/Foreign National
 - Other Mil. Service Investigating/Prosecuting
 - Civilian/Foreign Authority Prosecuting
 - Offender Unknown
 - Statute of Limitations Expired
 - Victim Declined to Participate
 - Insufficient Evidence
 - No Disciplinary Action Taken (No Reason Identified)
 - Investigation Revealed Allegation was Fabricated

**Action Taken:
28 Victims [72%]**

**Administrative Action
7/28 Victims
[25%]**

**Admin Discharge
ILO Other Action
0 Victims**

**Other Adverse Admin Action
7 Victims**

**Non-Judicial Punishment
4/28 Victims
[14%]**

**Court Martial Charge Preferred
17/28 Victims
[61%]**

**Court Martial Convened
12 Victims**

**Dismissed (lack of evidence)
0 Victims**

**Discharged ILO
5 Victims**

**Victim Declined to Participate
0 Victims**

Disposition at Court Martial

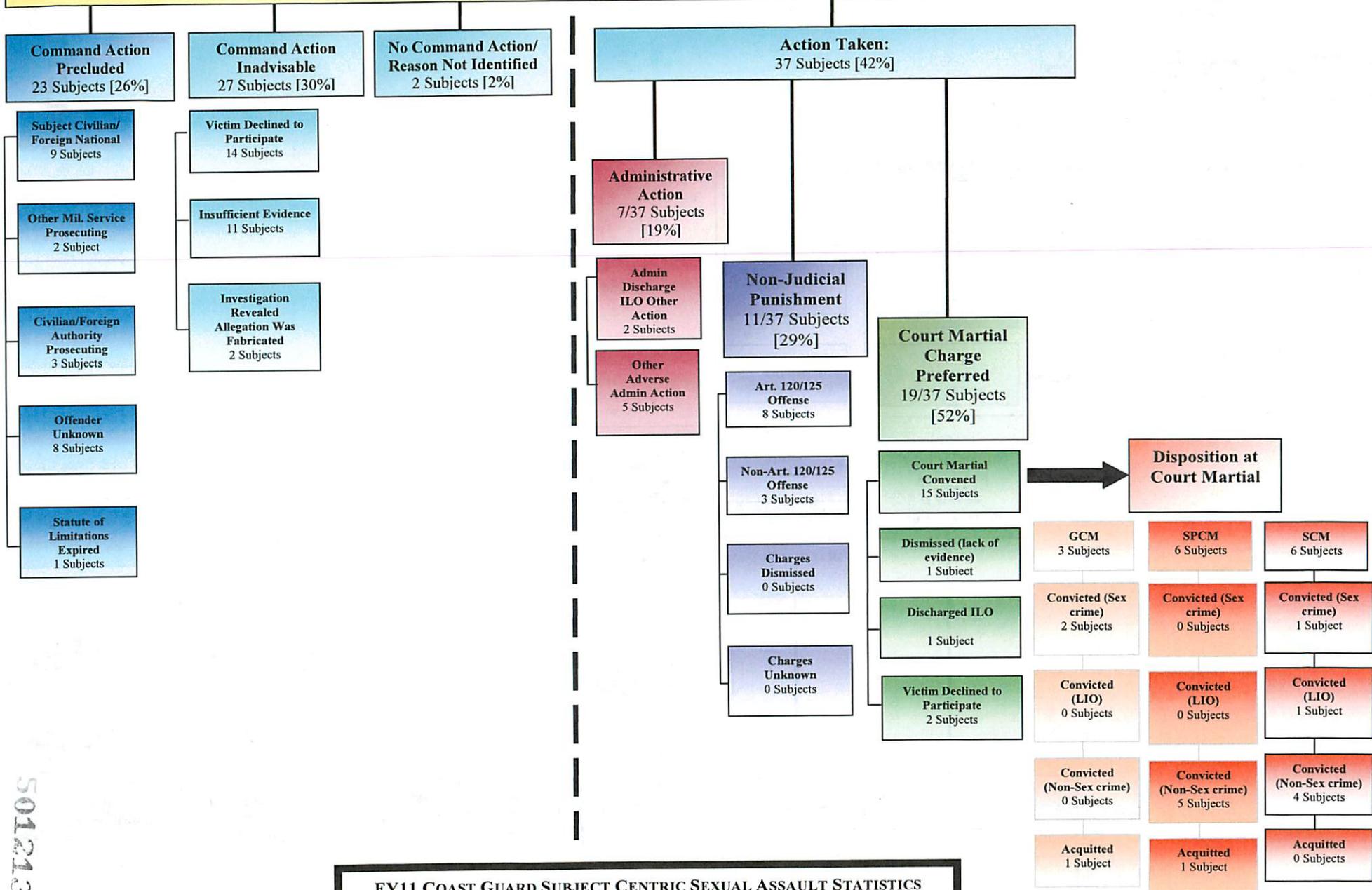
GCM 8 Victims	SPCM 1 Victim	SCM 3 Victims
Convicted (Sex crime) 8 Victims	Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 0 Victims
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims
Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 1 Victim	Convicted (Non-Sex crime) 3 Victims
Acquitted 0 Victims	Acquitted 0 Victims	Acquitted 0 Victims

“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.

**FY 10 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS:
WRONGFUL SEXUAL CONTACT
TOTAL VICTIMS → 39**

501212

Completed Investigations: 89 Subjects

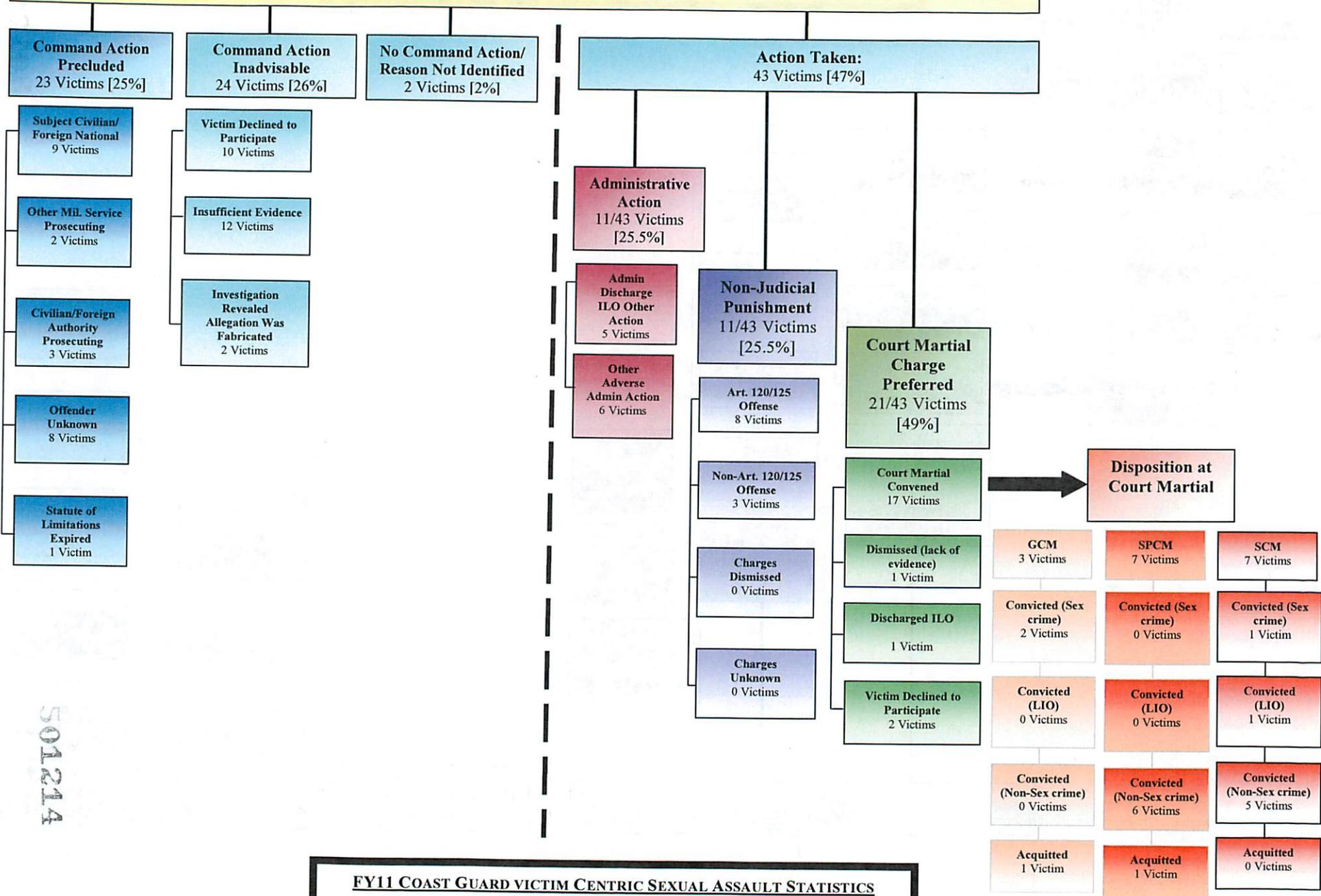


FY11 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS
TOTAL SUBJECTS → 89

501213

USCG
039

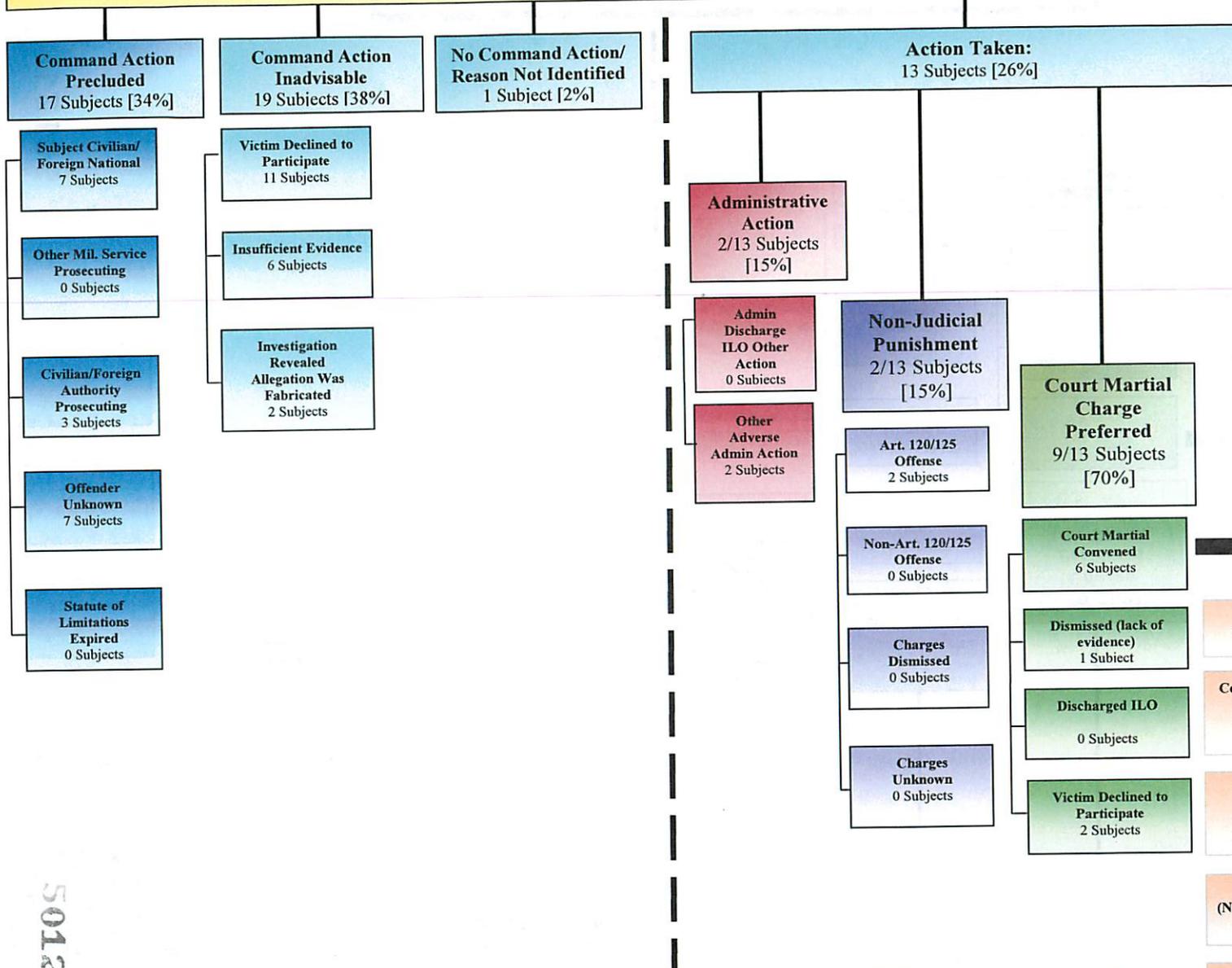
Completed Investigations: 92 Victims



501214

FY11 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS
TOTAL VICTIMS → 92

Completed Investigations: 50 Subjects

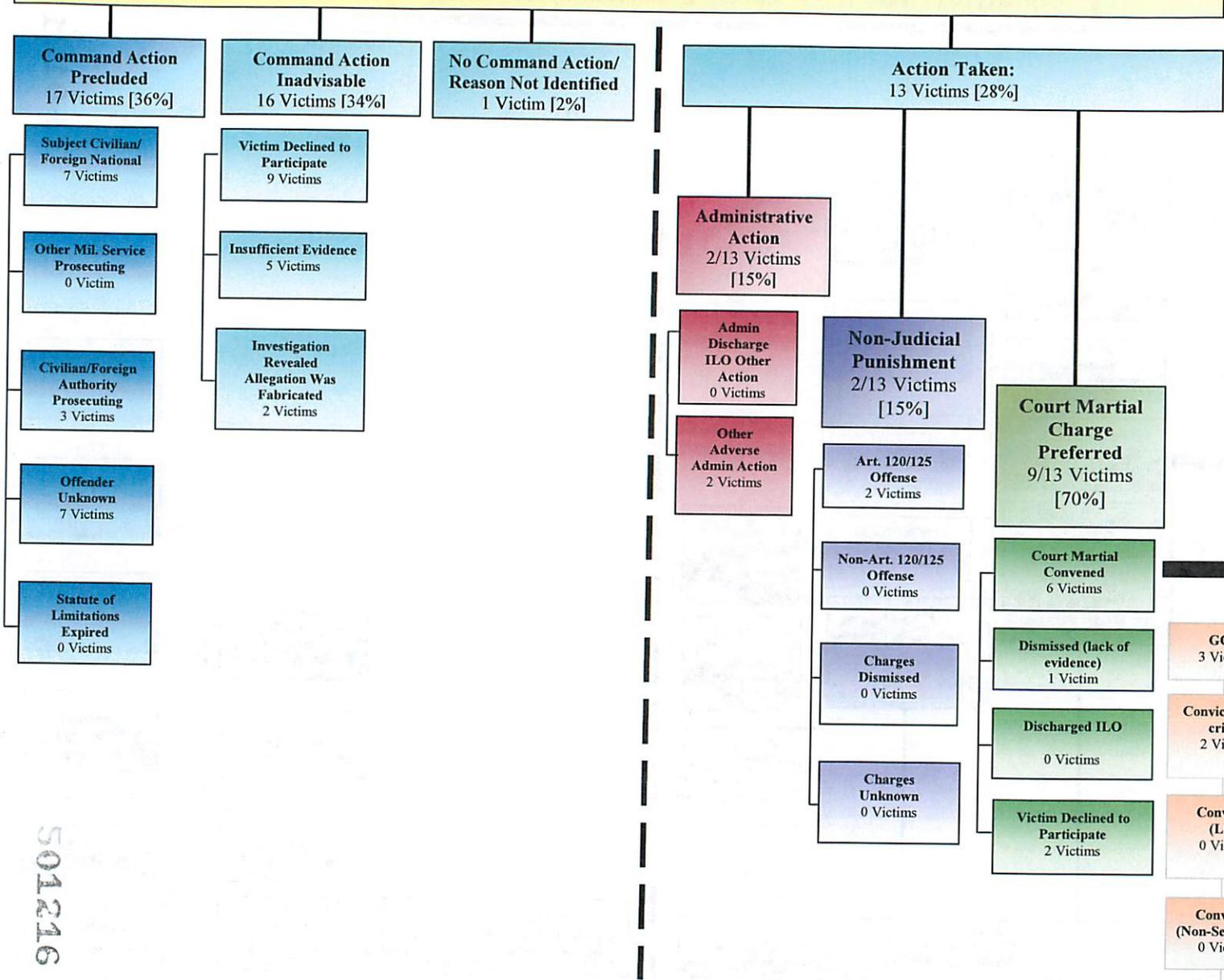


“Serious Sex Crime”
Category includes cases where the most serious initial allegation was Rape, Forcible Sodomy, Aggravated Sexual Assault, or Aggravated Sexual Contact.

**FY11 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:
SERIOUS SEX CRIME
TOTAL SUBJECTS → 50**

501215

Completed Investigations: 47 Victims



“Serious Sex Crime”
Category includes cases where the most serious initial allegation was Rape, Forcible Sodomy, Aggravated Sexual Assault, or Aggravated Sexual Contact.

Disposition at Court Martial

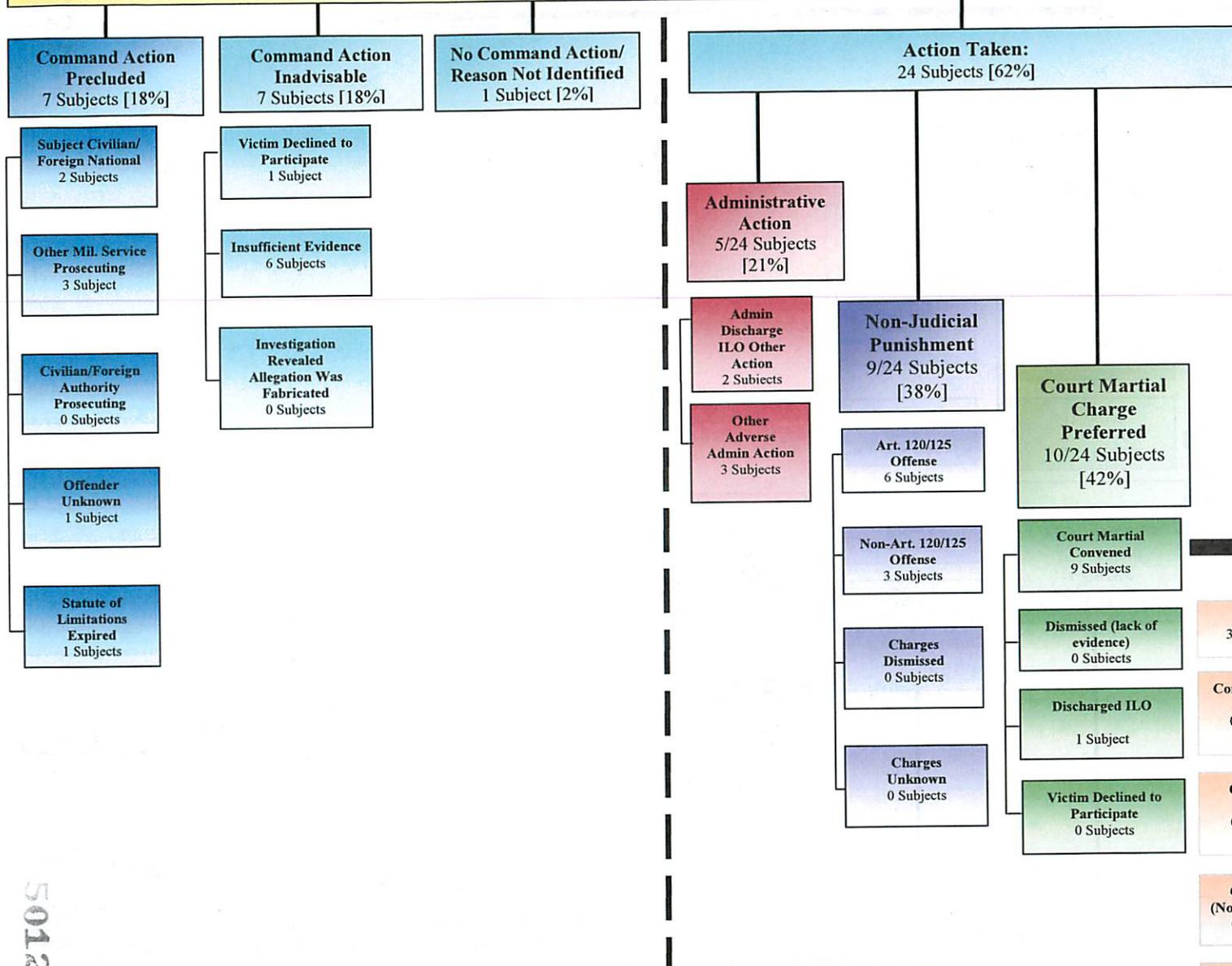
GCM	SPCM	SCM
3 Victims	0 Victims	3 Victims
Convicted (Sex crime) 2 Victims	Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 0 Victims
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims
Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 3 Victims
Acquitted 1 Victim	Acquitted 0 Victims	Acquitted 0 Victims

FY11 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS:
SERIOUS SEX CRIME
TOTAL VICTIMS → 47

501216

Completed Investigations: 39 Subjects

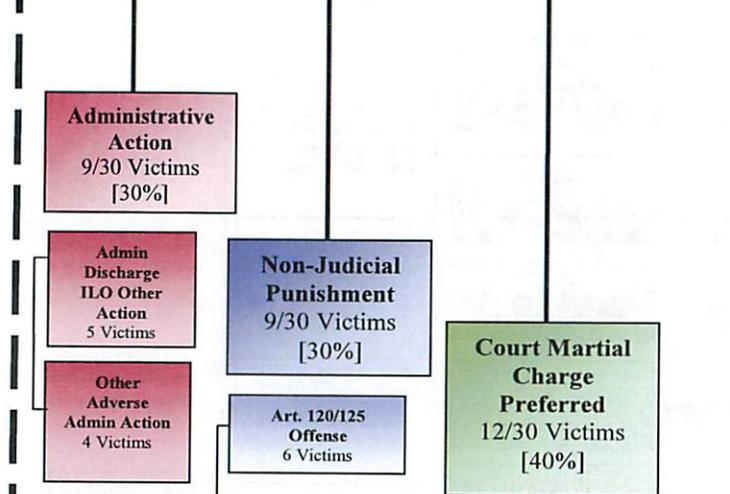
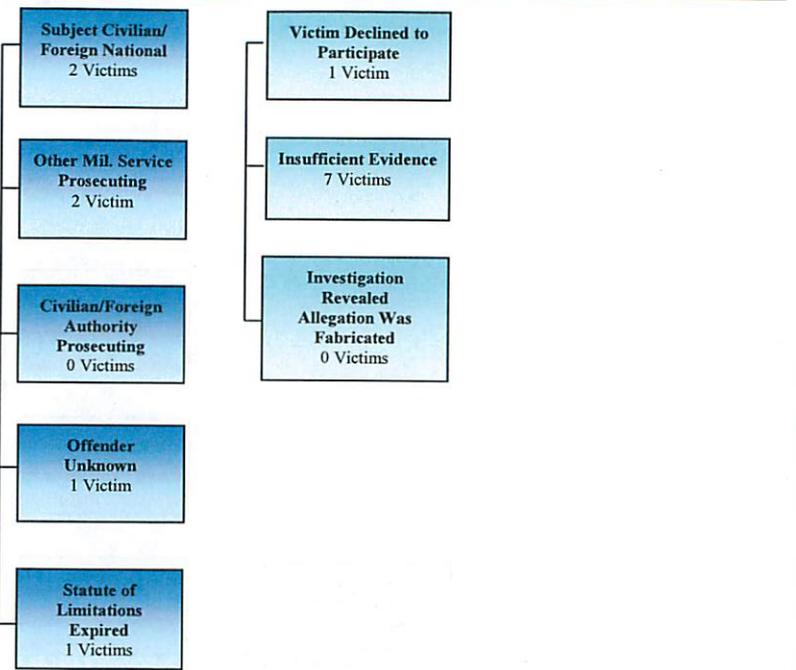
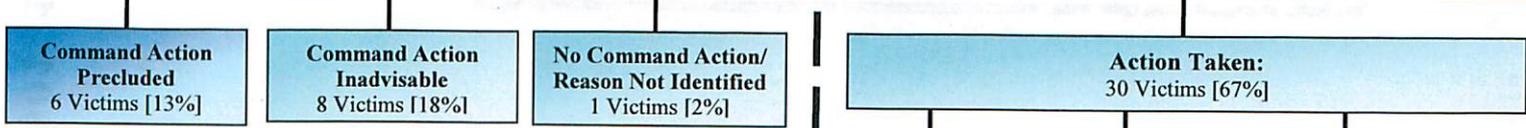
“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.



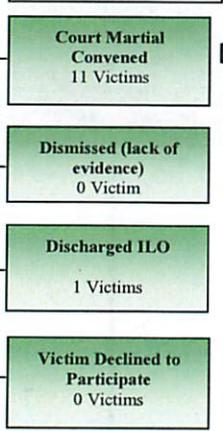
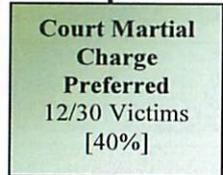
FY11 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:
 WRONGFUL SEXUAL CONTACT
 TOTAL SUBJECTS → 39

501217

Completed Investigations: 45 Victims



“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.

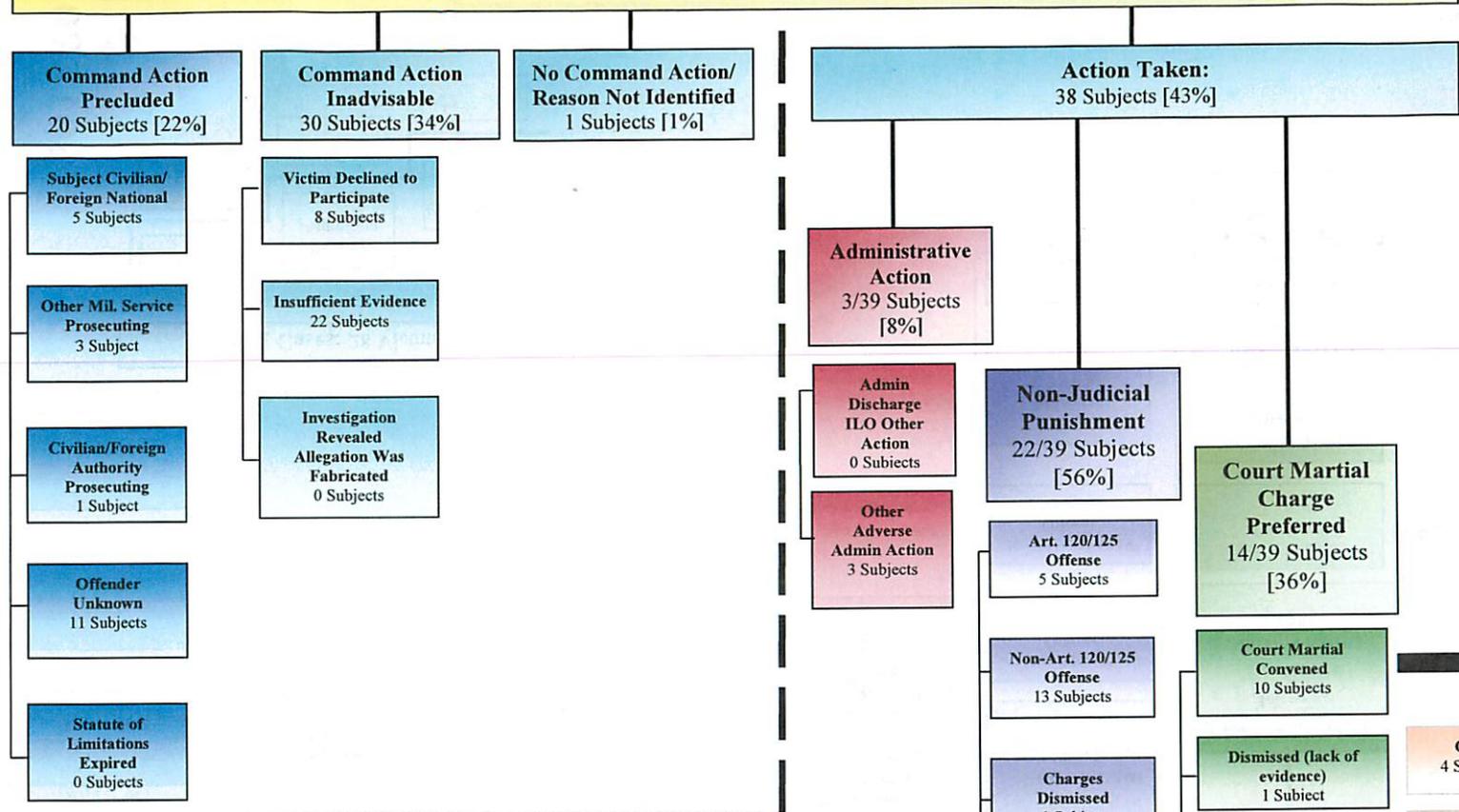


Disposition at Court Martial		
GCM 0 Victims	SPCM 7 Victims	SCM 4 Victims
Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 1 Victim
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 1 Victim
Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 6 Victims	Convicted (Non-Sex crime) 2 Victims
Acquitted 0 Victims	Acquitted 1 Victim	Acquitted 0 Victims

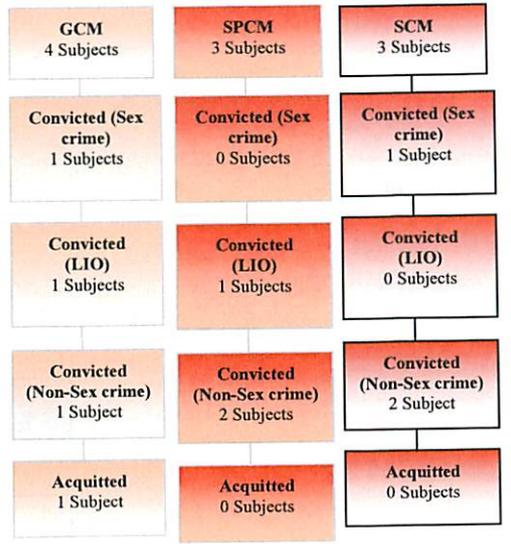
**FY11 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS:
WRONGFUL SEXUAL CONTACT
TOTAL VICTIMS → 45**

501218

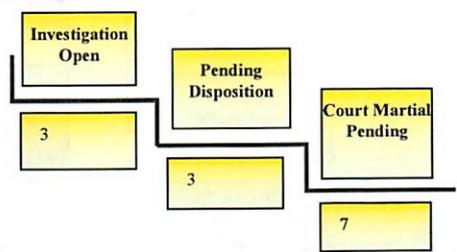
Completed Investigations: 89 Subjects



Disposition at Court Martial



Pending Cases: 13 Subjects [13%]



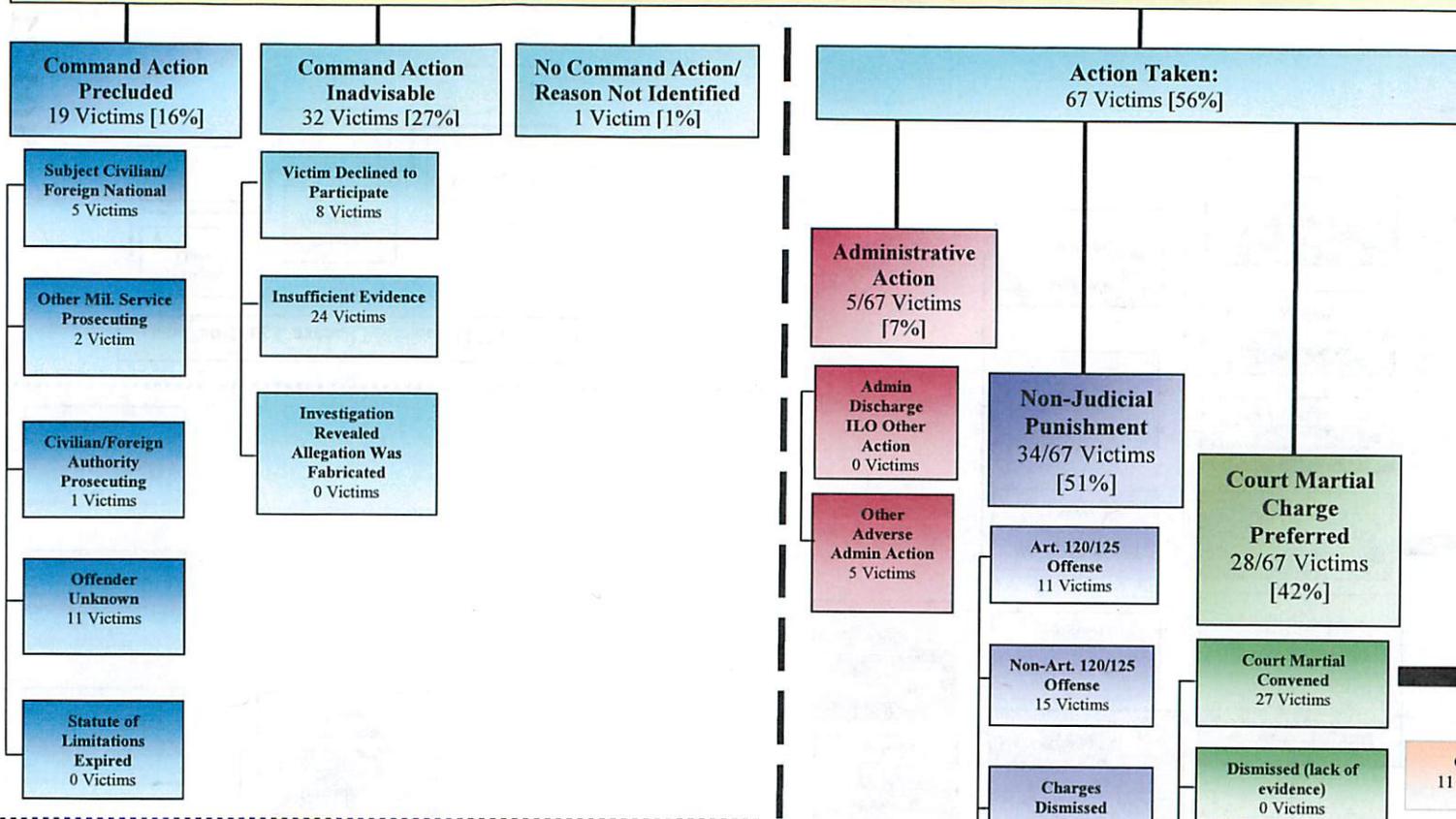
Current as of 12NOV13

FY12 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS
TOTAL SUBJECTS → 102

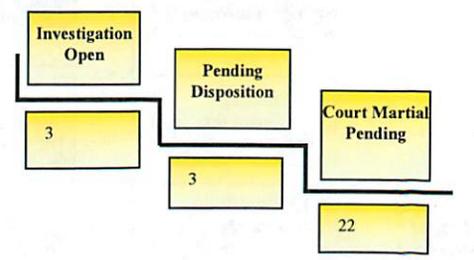
501219

USCG-039

Completed Investigations: 119 Victims



Pending Cases: 28 Victims [19%]



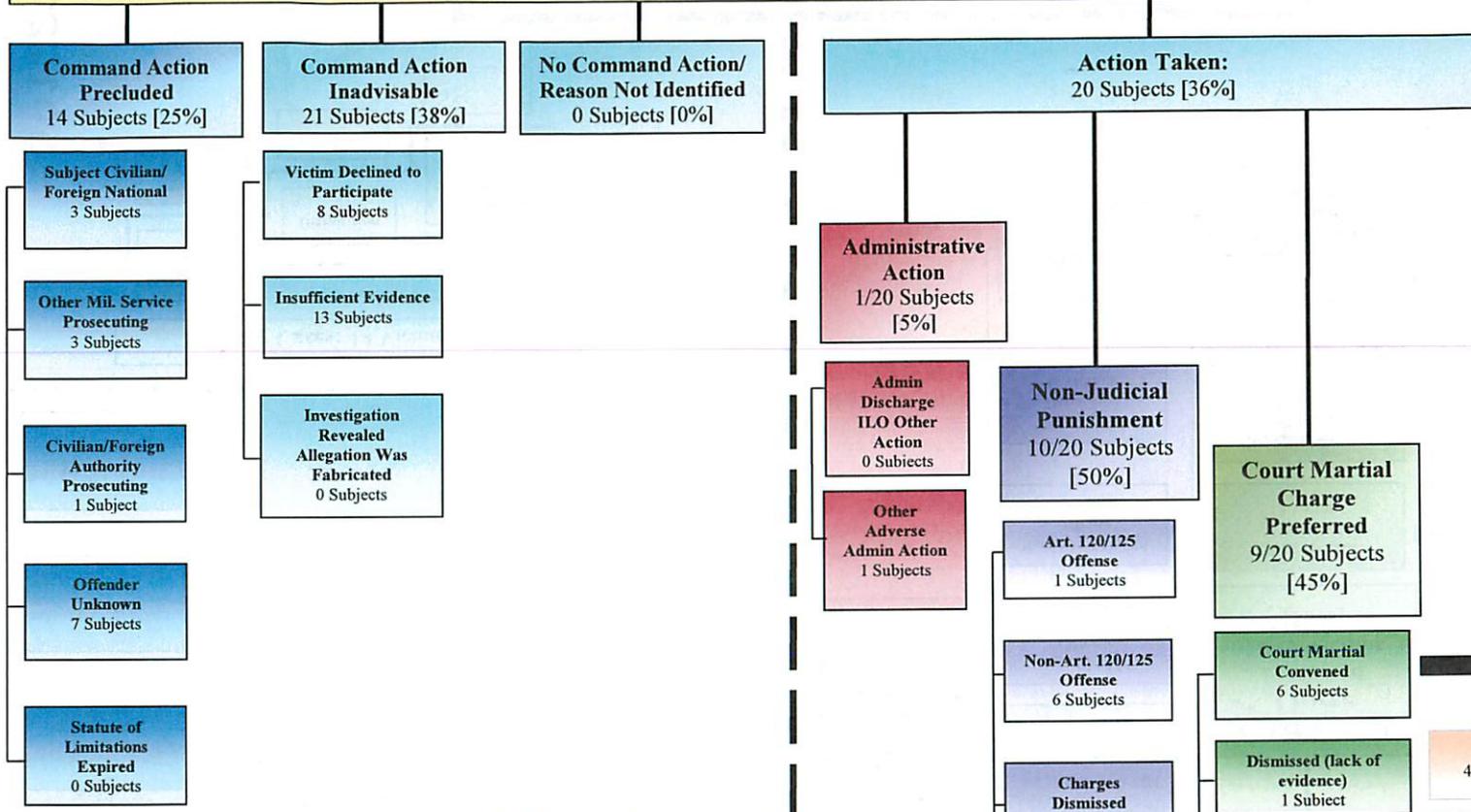
501220

Current as of 12NOV13

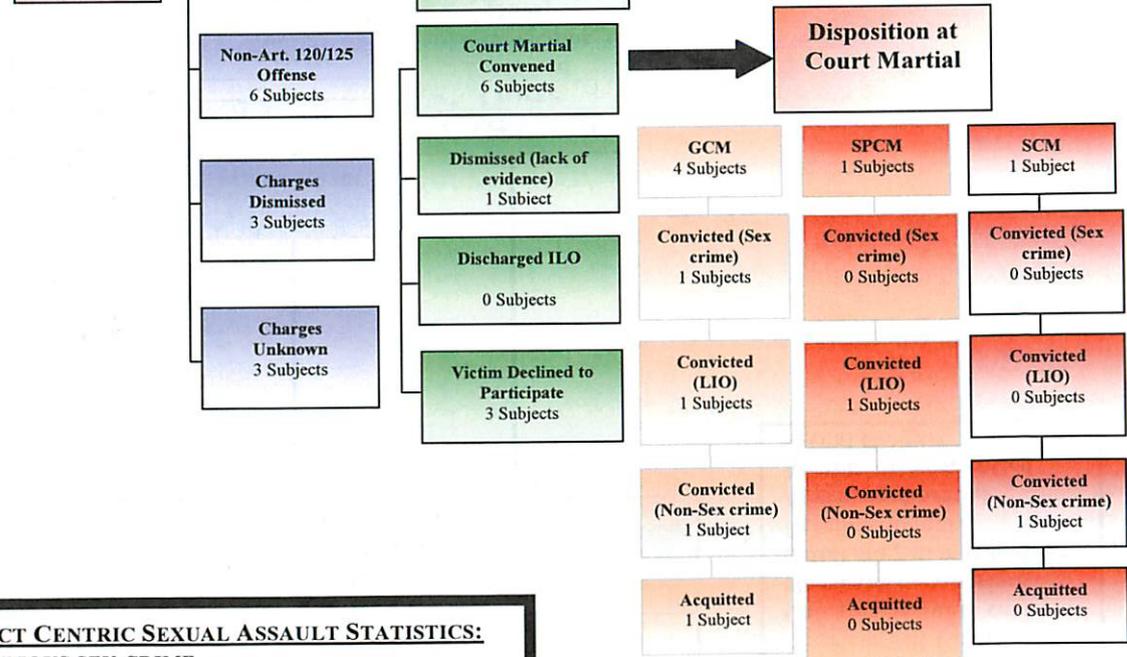
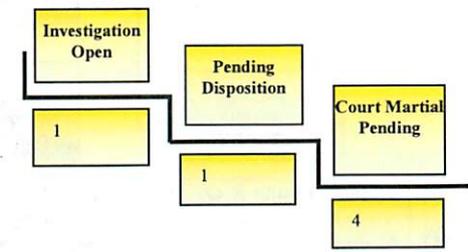
FY12 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS
TOTAL VICTIMS → 147

Completed Investigations: 55 Subjects

“Serious Sex Crime” Category includes cases where the most serious initial allegation was Rape, Forcible Sodomy, Aggravated Sexual Assault, or Aggravated Sexual Contact.



Pending Cases: 6 Subjects [10%]

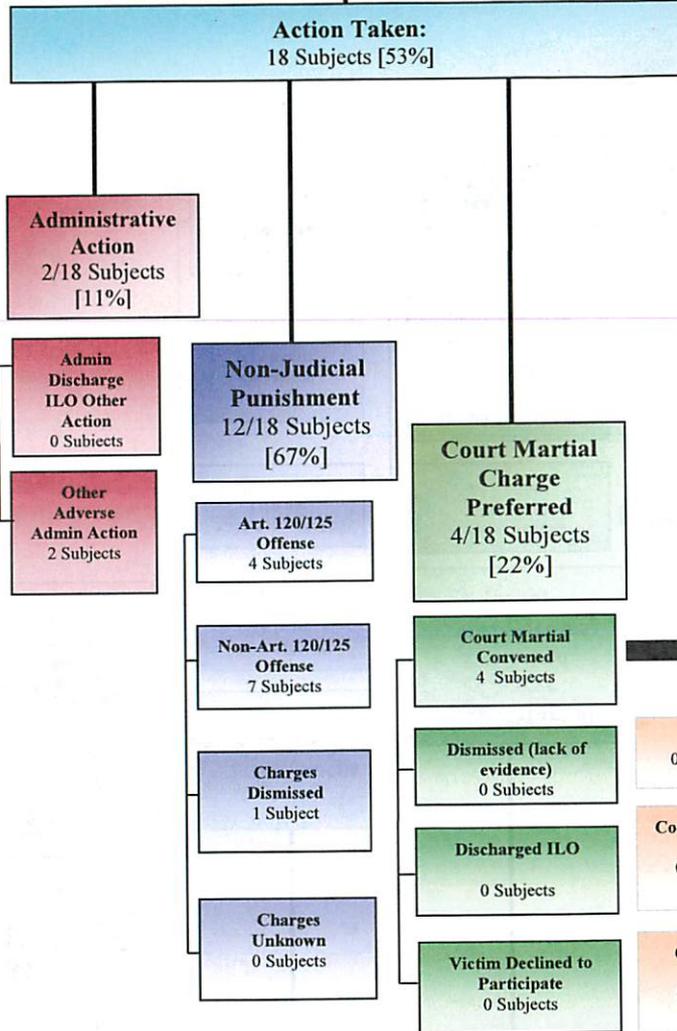
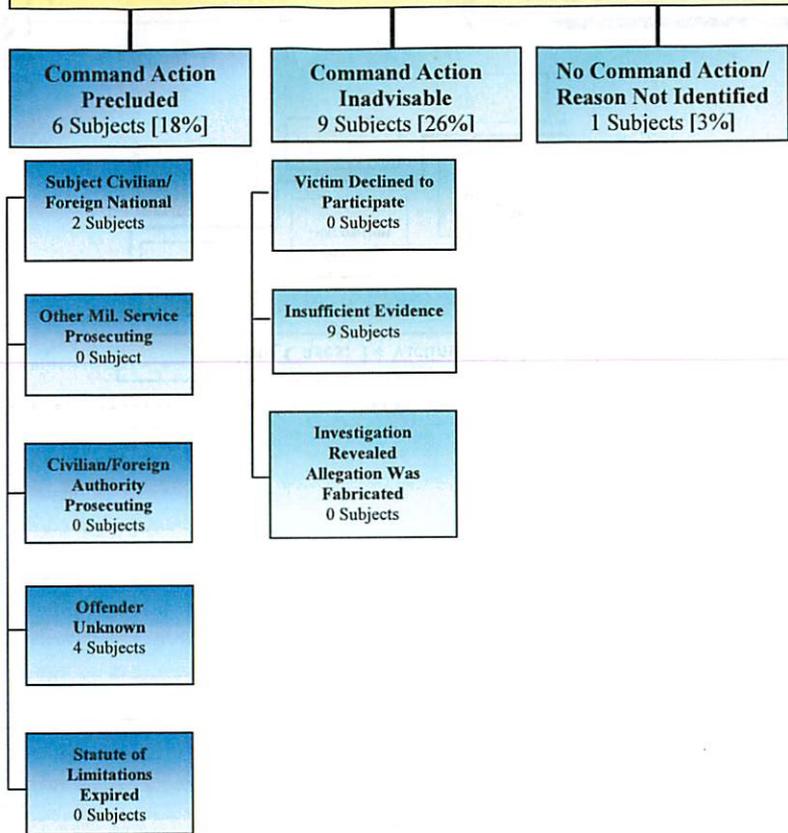


Current as of 12NOV13

**FY12 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:
SERIOUS SEX CRIME
TOTAL SUBJECTS → 61**

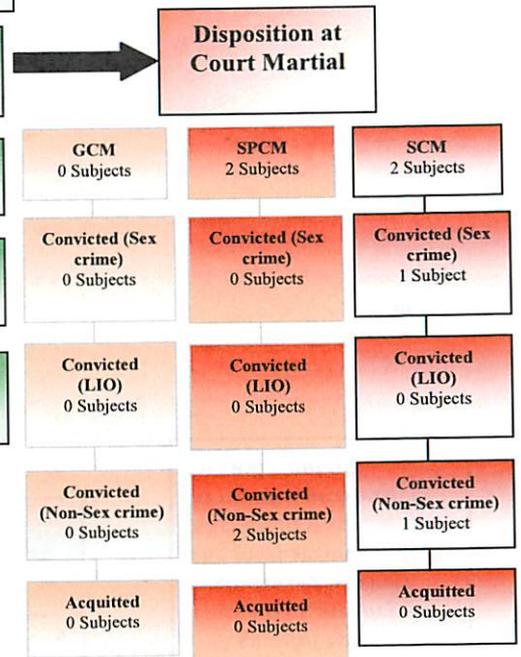
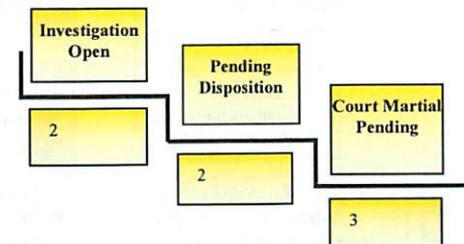
501221

Completed Investigations: 34 Subjects



“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.

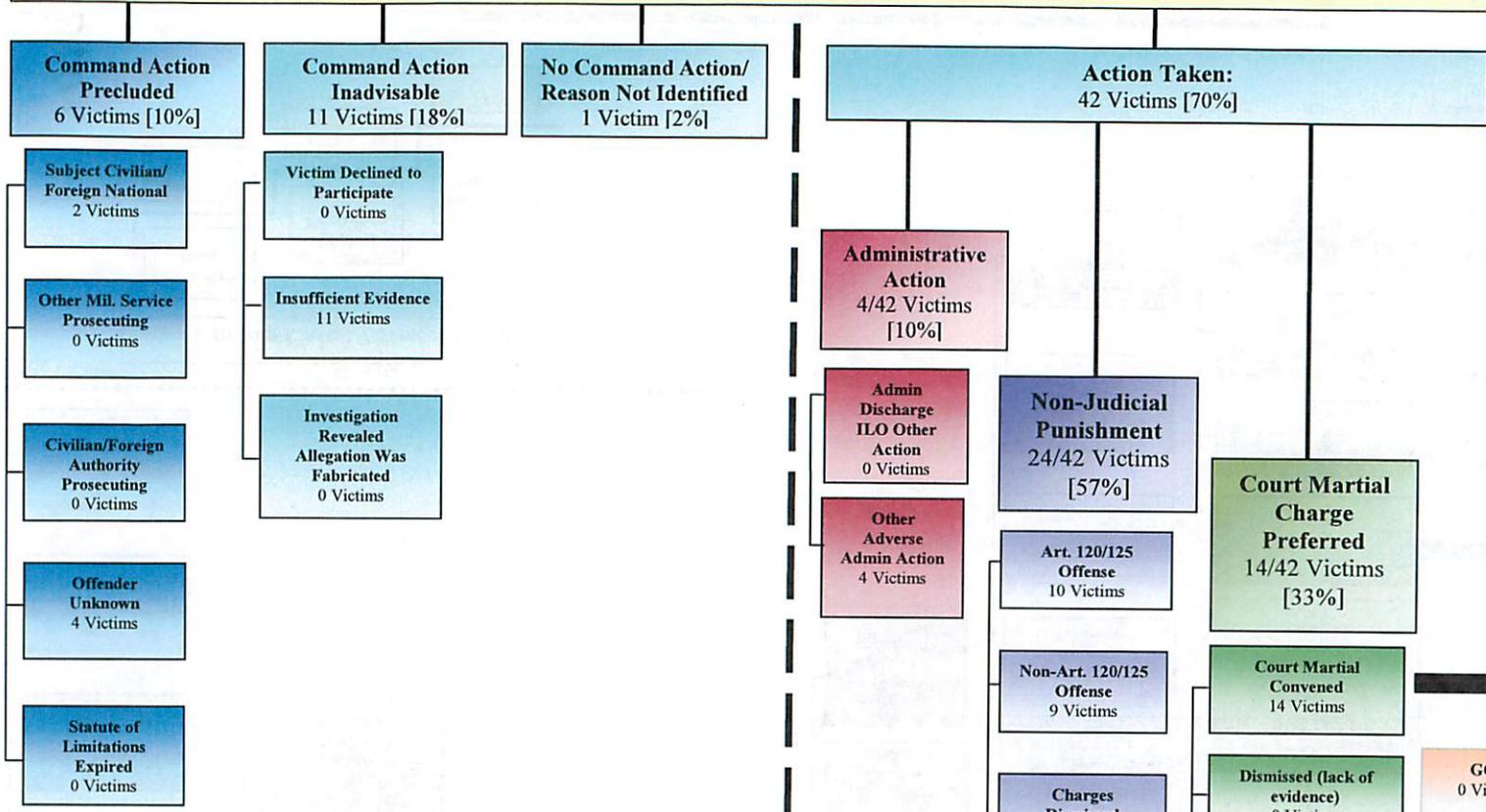
Pending Cases: 7 Subjects [17%]



Current as of 12NOV13

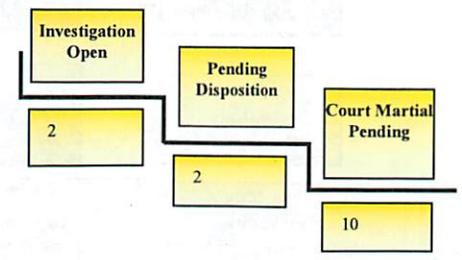
**FY12 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:
WRONGFUL SEXUAL CONTACT
TOTAL SUBJECTS → 41**

Completed Investigations: 60 Victims



“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.

Pending Cases: 14 Victims [19%]

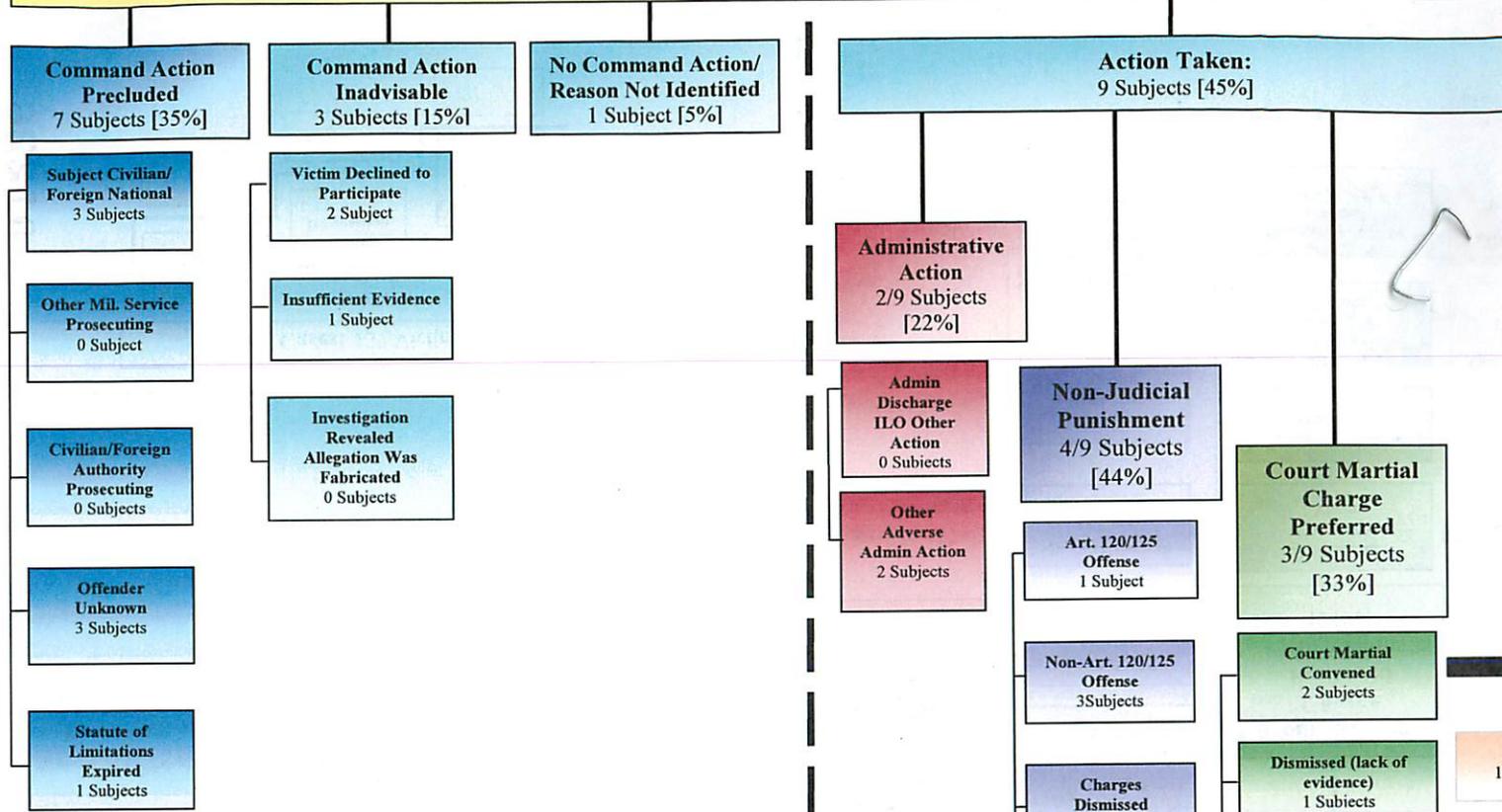


Current as of 12NOV13

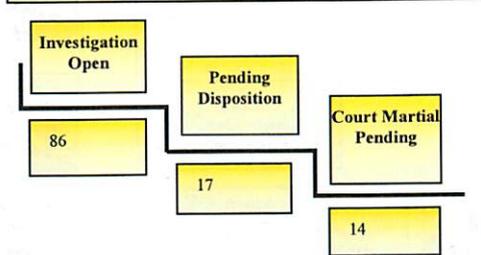
**FY12 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS:
WRONGFUL SEXUAL CONTACT
TOTAL VICTIMS → 74**

SOL 2013

Completed Investigations: 20 Subjects



Pending Cases: 117 Subjects [85%]



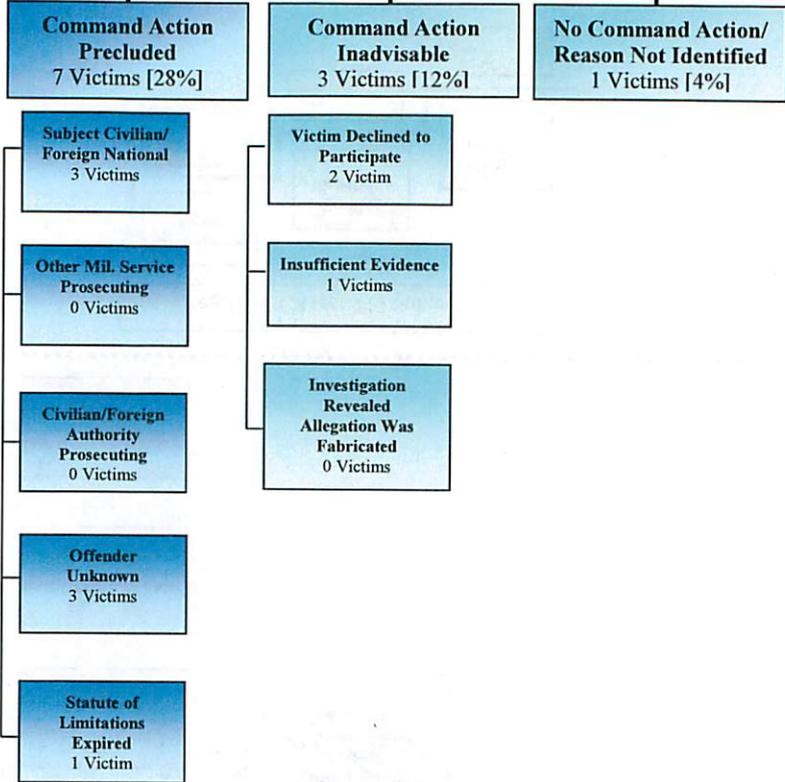
Current as of 12NOV13

FY13 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS
TOTAL SUBJECTS → 137

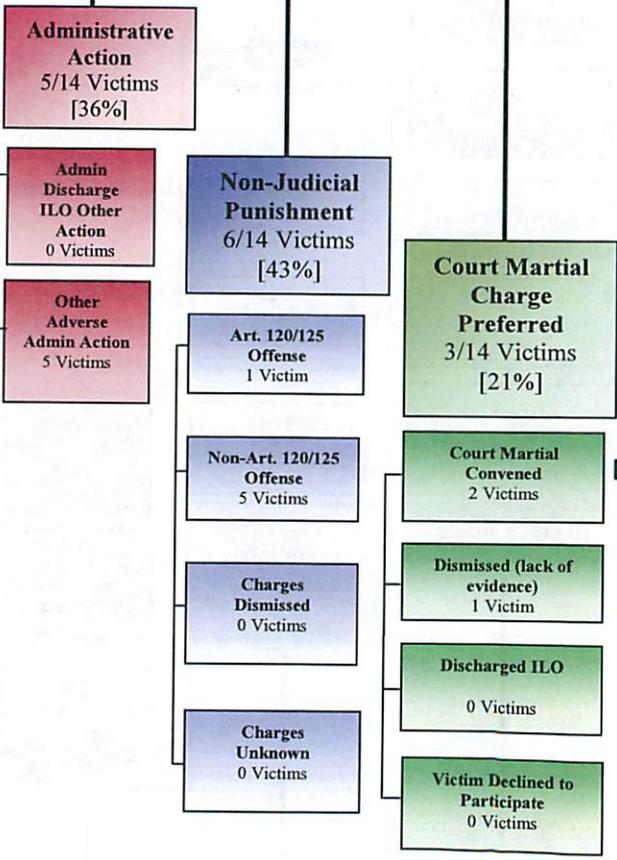
501225

USCG Q34

Completed Investigations: 25 Victims



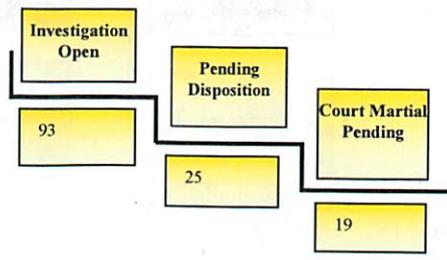
Action Taken: 14 Victims [56%]



Disposition at Court Martial

GCM	SPCM	SCM
1 Victim	0 Victims	1 Victim
Convicted (Sex crime) 1 Victim	Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 0 Victims
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims
Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 1 Victim
Acquitted 0 Victim	Acquitted 0 Victims	Acquitted 0 Victims

Pending Cases: 137 Victims [85%]

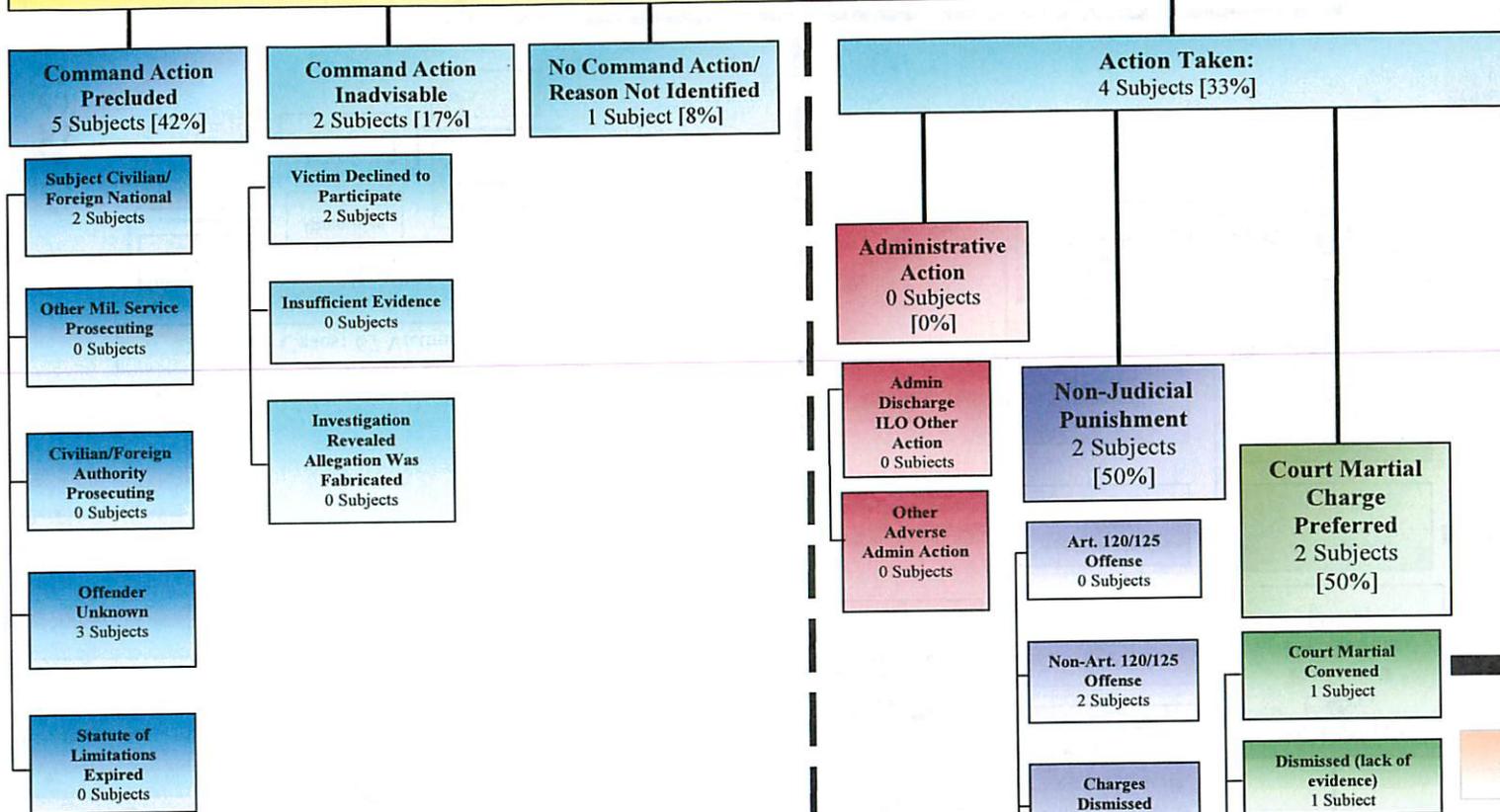


501226

Current as of 12NOV13

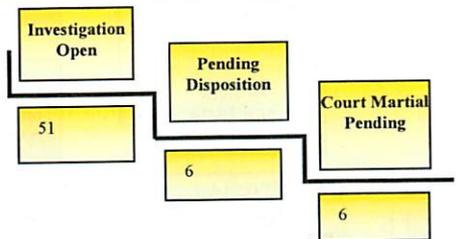
FY13 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS
TOTAL VICTIMS → 162

Completed Investigations: 12 Subjects



“Serious Sex Crime”
Category includes cases where the most serious initial allegation was Rape, Forcible Sodomy, Aggravated Sexual Assault, or Aggravated Sexual Contact.

Pending Cases: 63 Subjects [84%]



Disposition at Court Martial

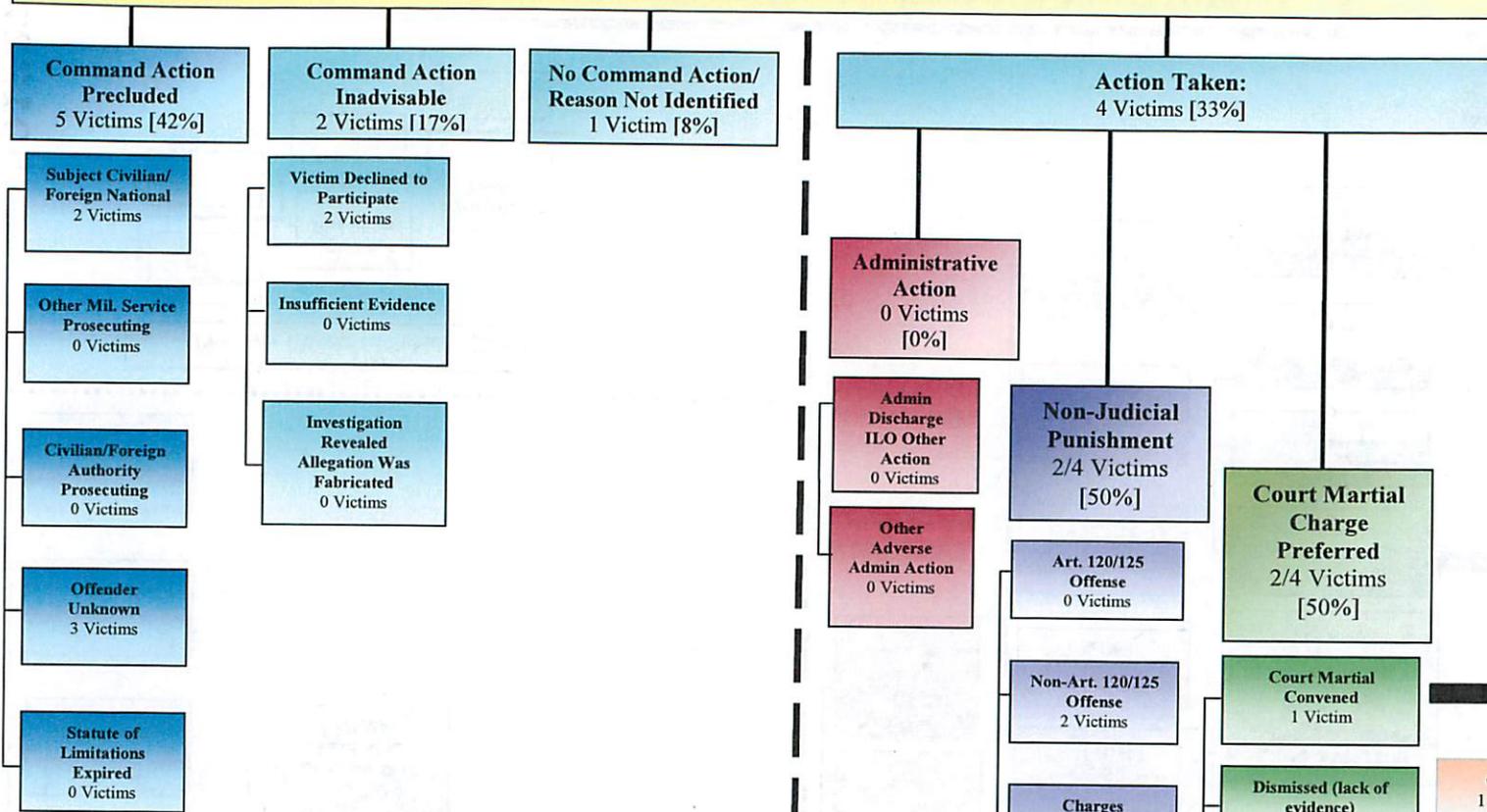
GCM	SPCM	SCM
1 Subject	0 Subjects	0 Subjects
Convicted (Sex crime) 1 Subject	Convicted (Sex crime) 0 Subjects	Convicted (Sex crime) 0 Subjects
Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects	Convicted (LIO) 0 Subjects
Convicted (Non-Sex crime) 0 Subjects	Convicted (Non-Sex crime) 0 Subjects	Convicted (Non-Sex crime) 0 Subjects
Acquitted 0 Subjects	Acquitted 0 Subjects	Acquitted 0 Subjects

FY13 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:
SERIOUS SEX CRIME
TOTAL SUBJECTS → 75

Current as of 12NOV13

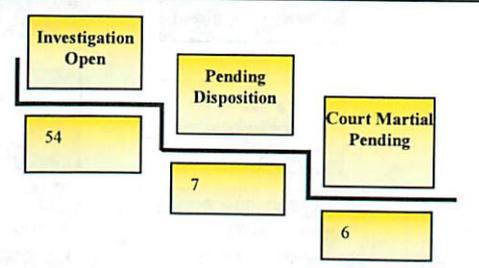
501227

Completed Investigations: 12 Victims



“Serious Sex Crime”
Category includes cases where the most serious initial allegation was Rape, Forcible Sodomy, Aggravated Sexual Assault, or Aggravated Sexual Contact.

Pending Cases: 67 Victims [85%]



Disposition at Court Martial

GCM	SPCM	SCM
1 Victim	0 Victims	0 Victims
Convicted (Sex crime) 1 Victim	Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 0 Victims
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims
Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 0 Victims
Acquitted 0 Victims	Acquitted 0 Victims	Acquitted 0 Victims

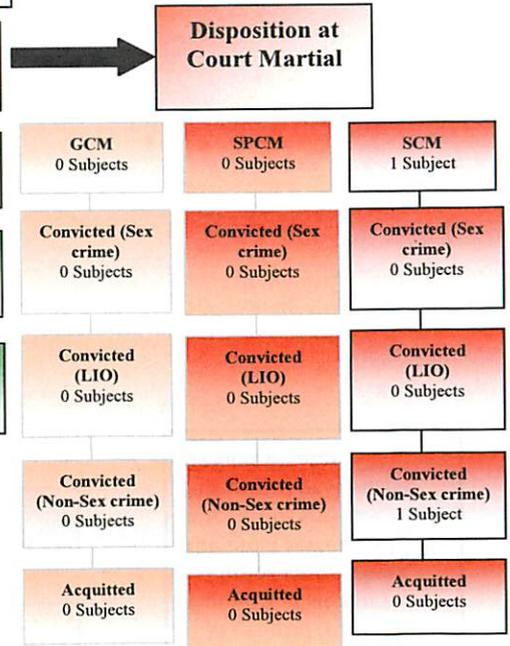
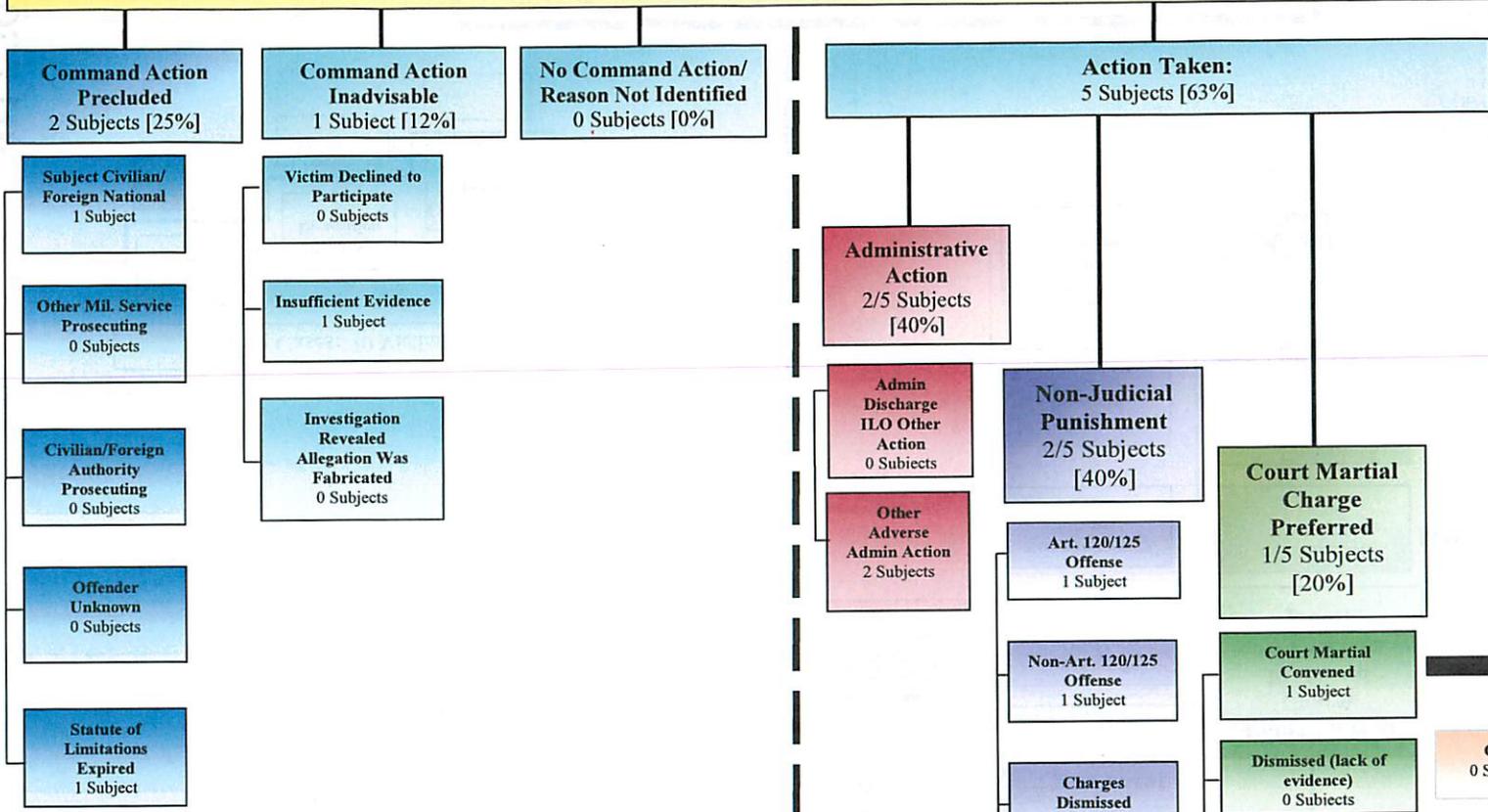
501228

Current as of 12NOV13

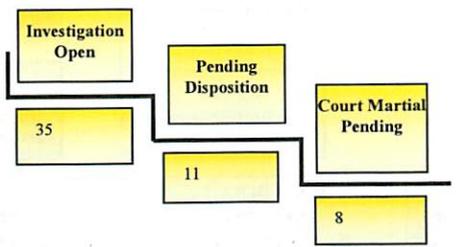
**FY13 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS:
SERIOUS SEX CRIME
TOTAL VICTIMS → 79**

Completed Investigations: 8 Subjects

“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.



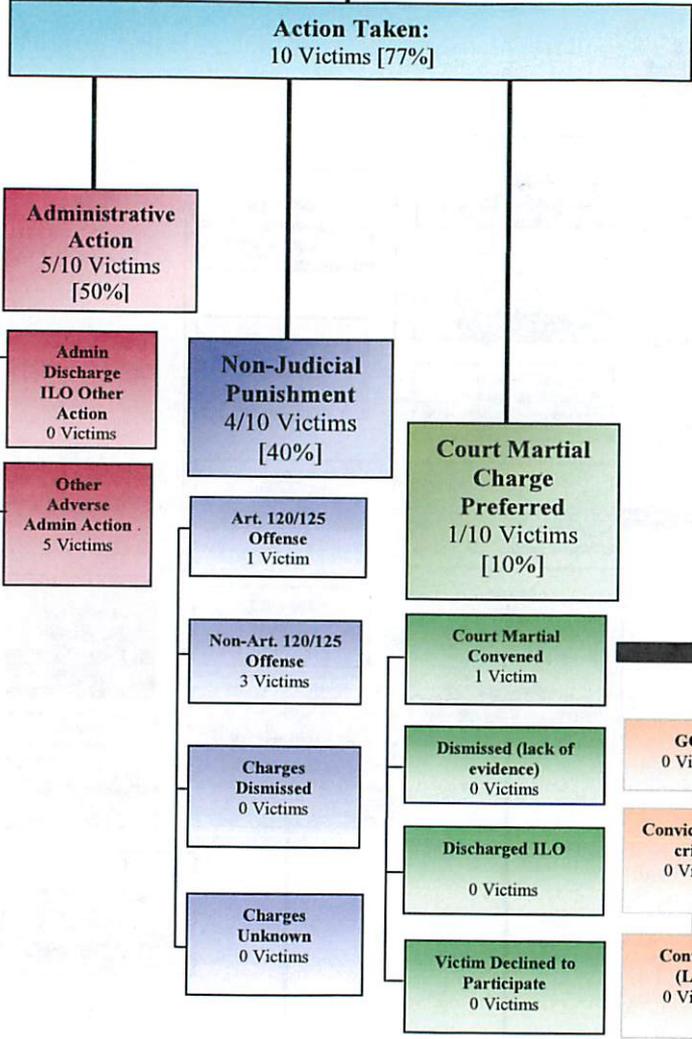
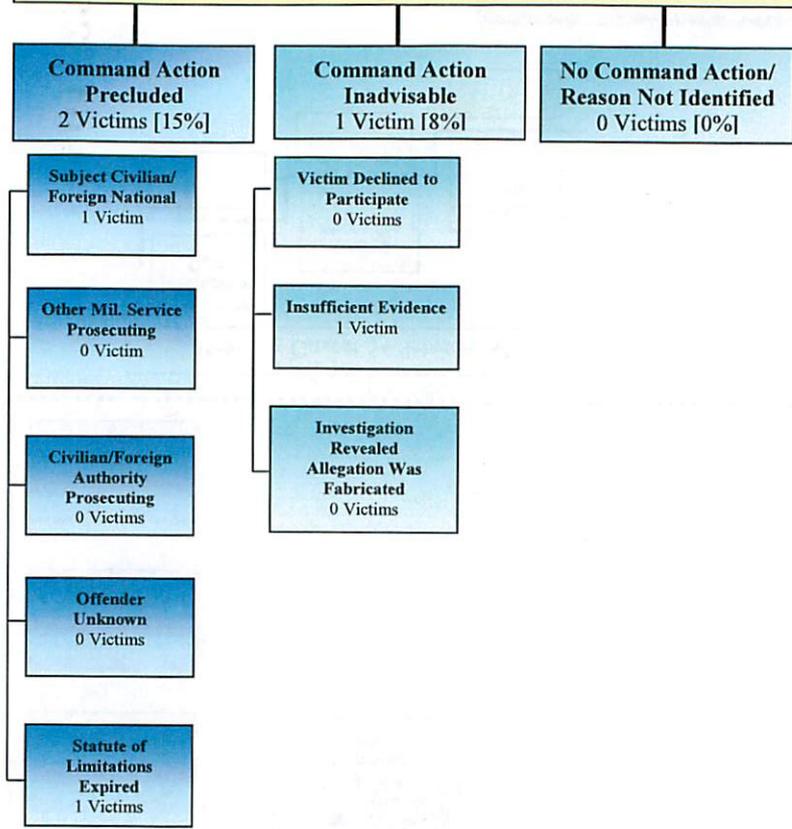
Pending Cases: 54 Subjects [87%]



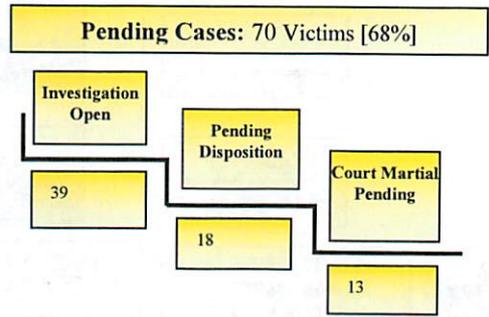
5012222
Current as of 12NOV13

**FY13 COAST GUARD SUBJECT CENTRIC SEXUAL ASSAULT STATISTICS:
WRONGFUL SEXUAL CONTACT
TOTAL SUBJECTS → 62**

Completed Investigations: 13 Victims



“Wrongful Sexual Contact” Category includes cases where the most serious initial allegation was Wrongful or Abusive Sexual Contact.



Disposition at Court Martial

GCM 0 Victims	SPCM 0 Victims	SCM 1 Victim
Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 0 Victims	Convicted (Sex crime) 0 Victims
Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims	Convicted (LIO) 0 Victims
Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 0 Victims	Convicted (Non-Sex crime) 1 Victim
Acquitted 0 Victims	Acquitted 0 Victims	Acquitted 0 Victims

Current as of 12NOV13

**FY13 COAST GUARD VICTIM CENTRIC SEXUAL ASSAULT STATISTICS:
WRONGFUL SEXUAL CONTACT
TOTAL VICTIMS → 83**

501230